

# Literature Report IV

## Carbonyl Catalysis Enables a Biomimetic Asymmetric Mannich Reaction

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**Reporter: Xin-Wei Wang**

**Checker: Chang-Bin Yu**

**Date: 2018-10-15**

Guo, Q,-X. *et al. Chem. Sci.* **2014**, 5, 1988.

Zhao, B. *et al. Science* **2018**, 360, 1438.

# CV of Professor Baoguo Zhao

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## Background:

- ❑ 1992-1996 B.S. in Wuhan University;
- ❑ 1999-2002 M.S. in Nanjing University;
- ❑ 2002-2006 Ph.D. in SIOC, CAS;
- ❑ 2006-2011 Postdoctoral, Colorado State University;
- ❑ 2011-Now Professor, Shanghai Normal University



Baoguo Zhao

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## Research:

- Biomimetic Asymmetric Catalysis;
- Biomimetic Total Synthesis

# Contents

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## 1 Introduction

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## 2 Catalytic asymmetric direct $\alpha$ -alkylation of amino esters

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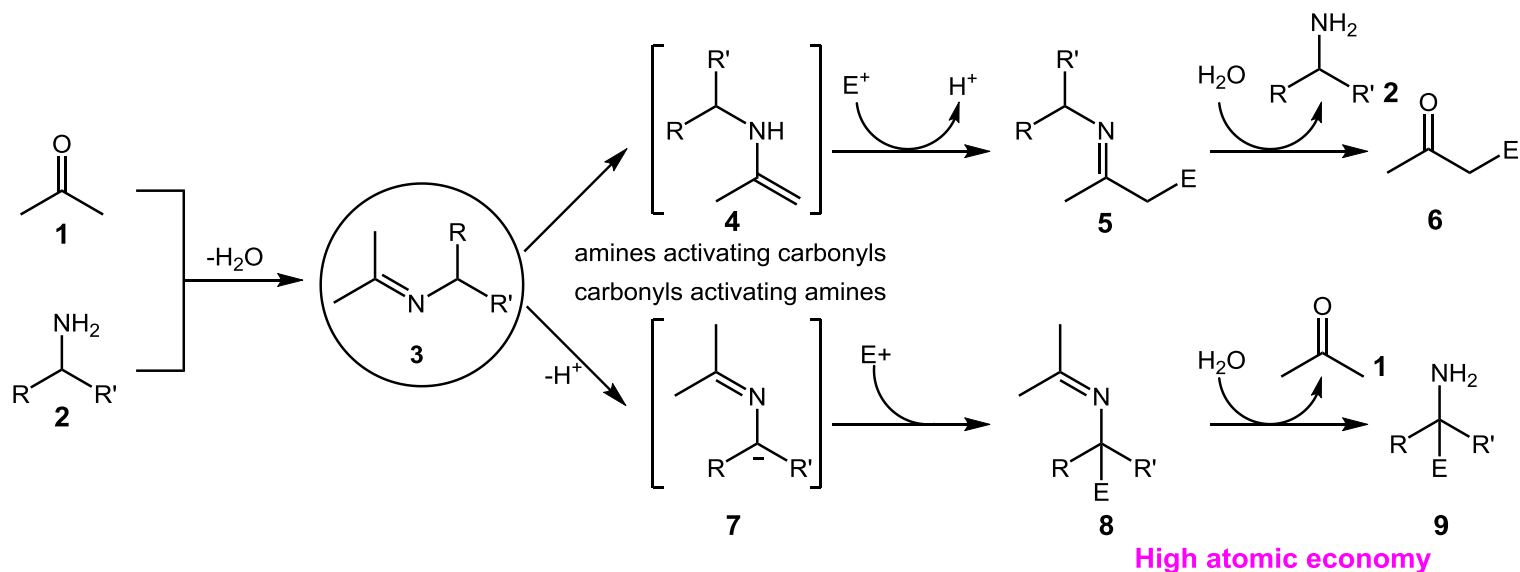
## 3 Carbonyl catalysis enables an asymmetric Mannich reaction

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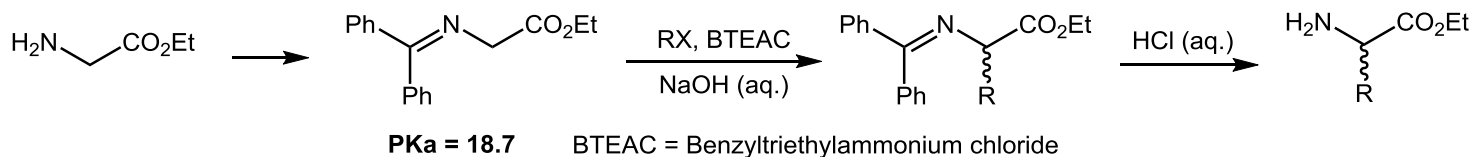
## 4 Summary

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# Introduction



## The first synthesis of racemic $\alpha$ -amino acids

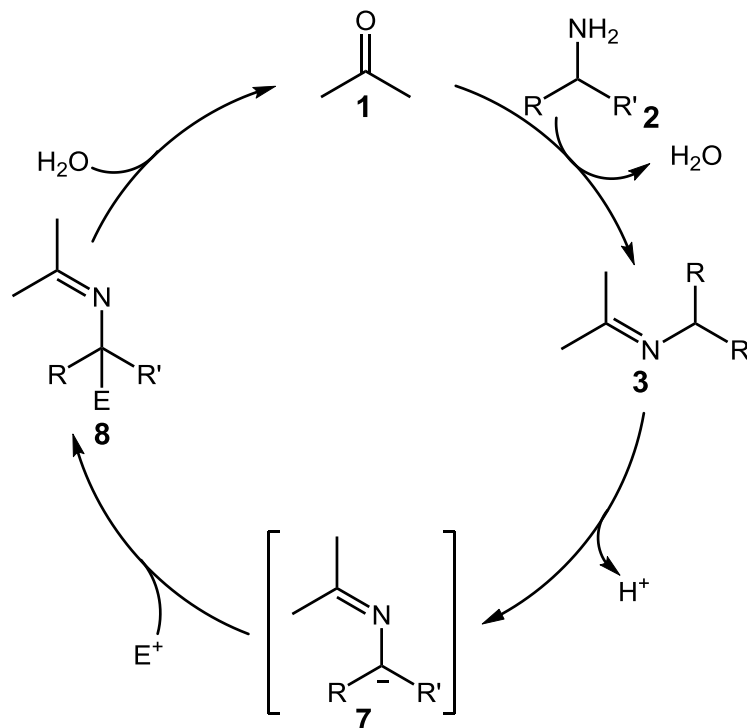


O'Donnell, M. J. *et al. Tetrahedron Lett.* **1978**, 47, 4625.

O'Donnell, M. J. *Acc. Chem. Res.* **2004**, 37, 506.

List, B. *et al. Chem. Rev.* **2007**, 107, 5413.

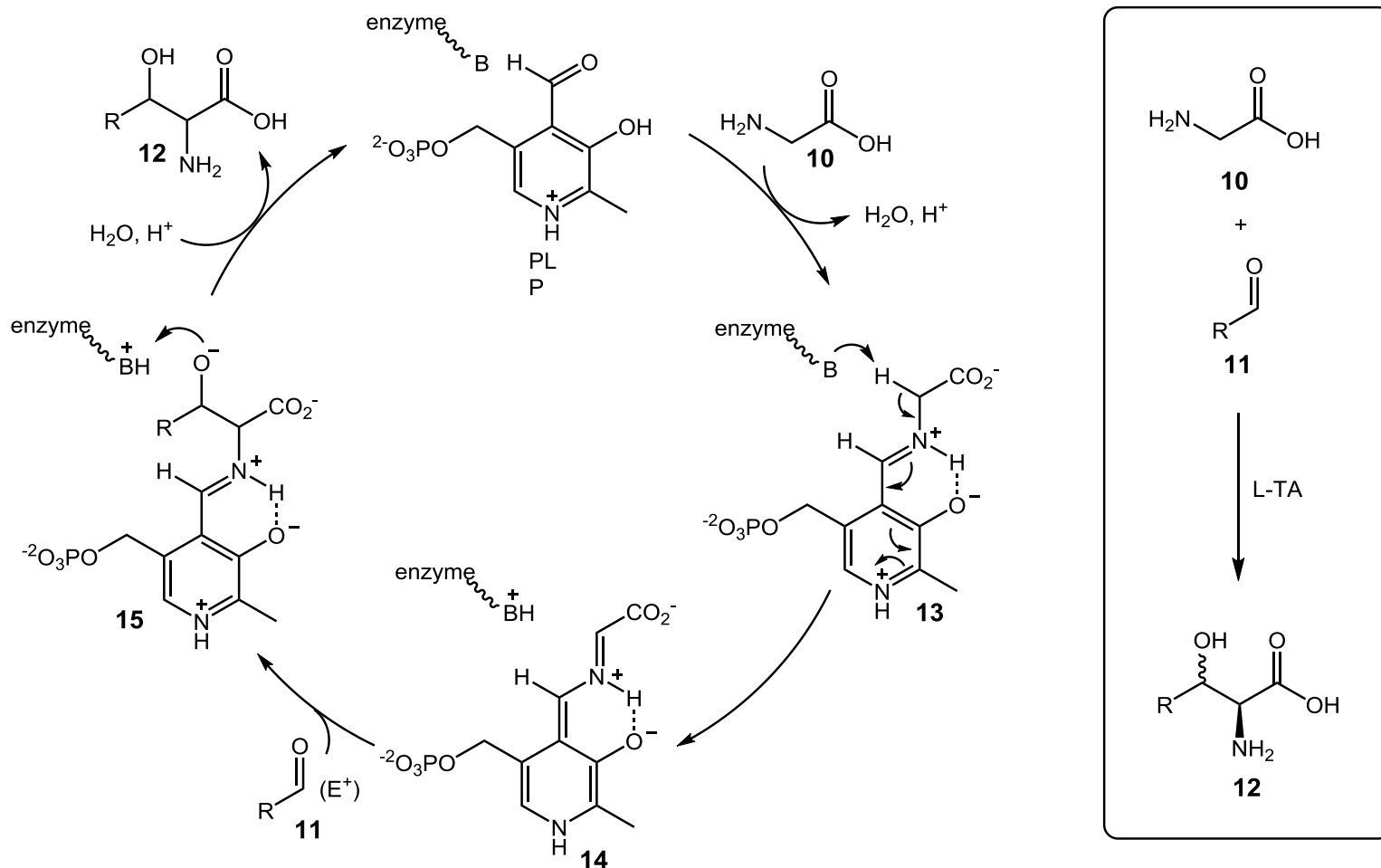
# Carbonyl Catalysis



An ideal reaction should meet the following requirements:

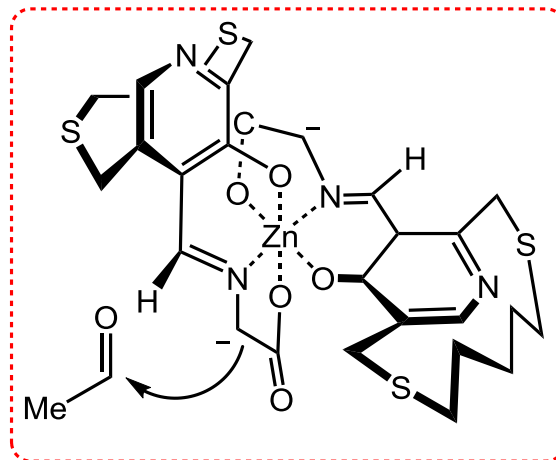
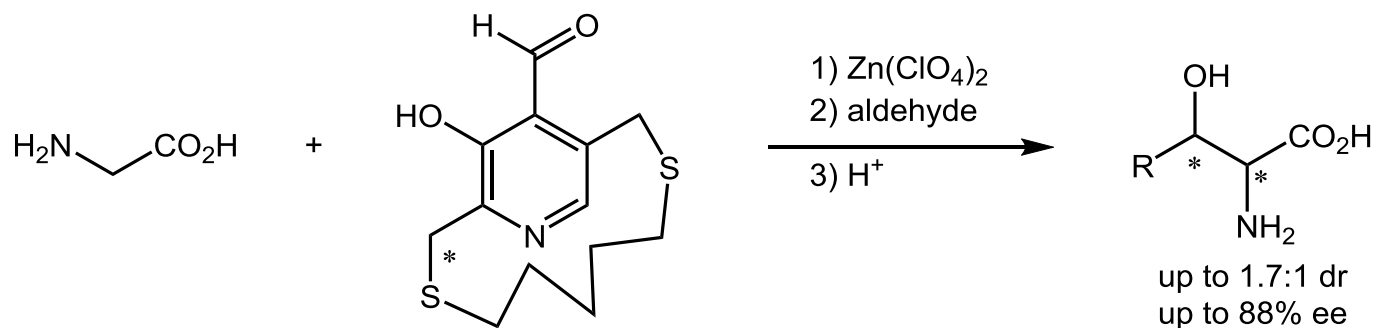
- a) Carbonyl catalyst **1** must be electron-withdrawing enough to promote deprotonation to form α-amino anion **7** for further reaction with an electrophile;
- b) Both the carbonyl catalyst **1** and the imine intermediate **3** should be much less reactive than the electrophile in competition for the active α-amino anion **7** under the reaction conditions;
- c) For an asymmetric version, carbonyl catalyst **1** should control positioning of the incoming electrophile.

# Carbonyl Catalysis in Biological Systems



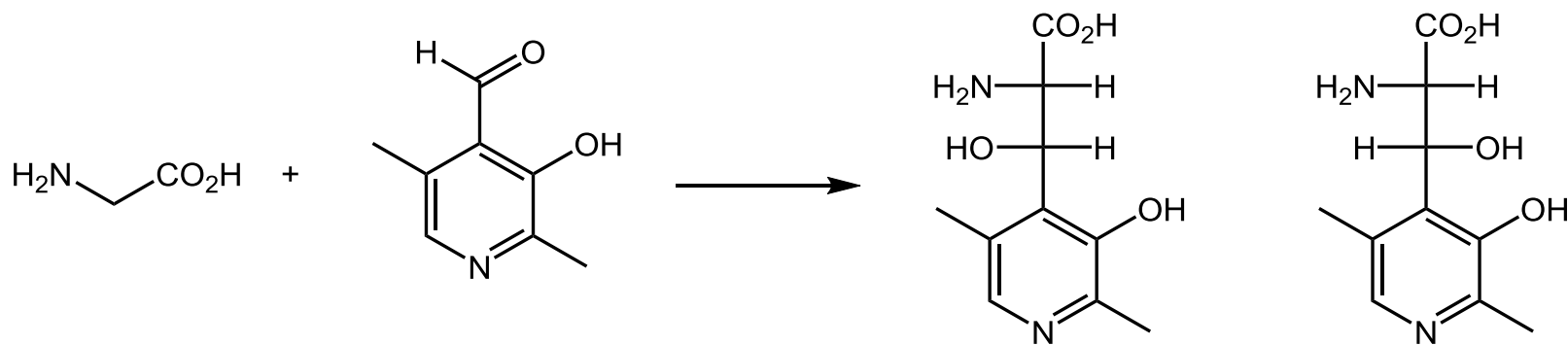
Kimura, T. *et al. J. Am. Chem. Soc.* **1997**, 119, 11734.  
 Vivoli, M. *et al. FEBS J.* **2014**, 281, 129.

# Studies on Imitating the Biological Process



Kuzuhara, H. *et al. J. Chem. Soc. Chem. Commun.* **1987**, 2, 95.

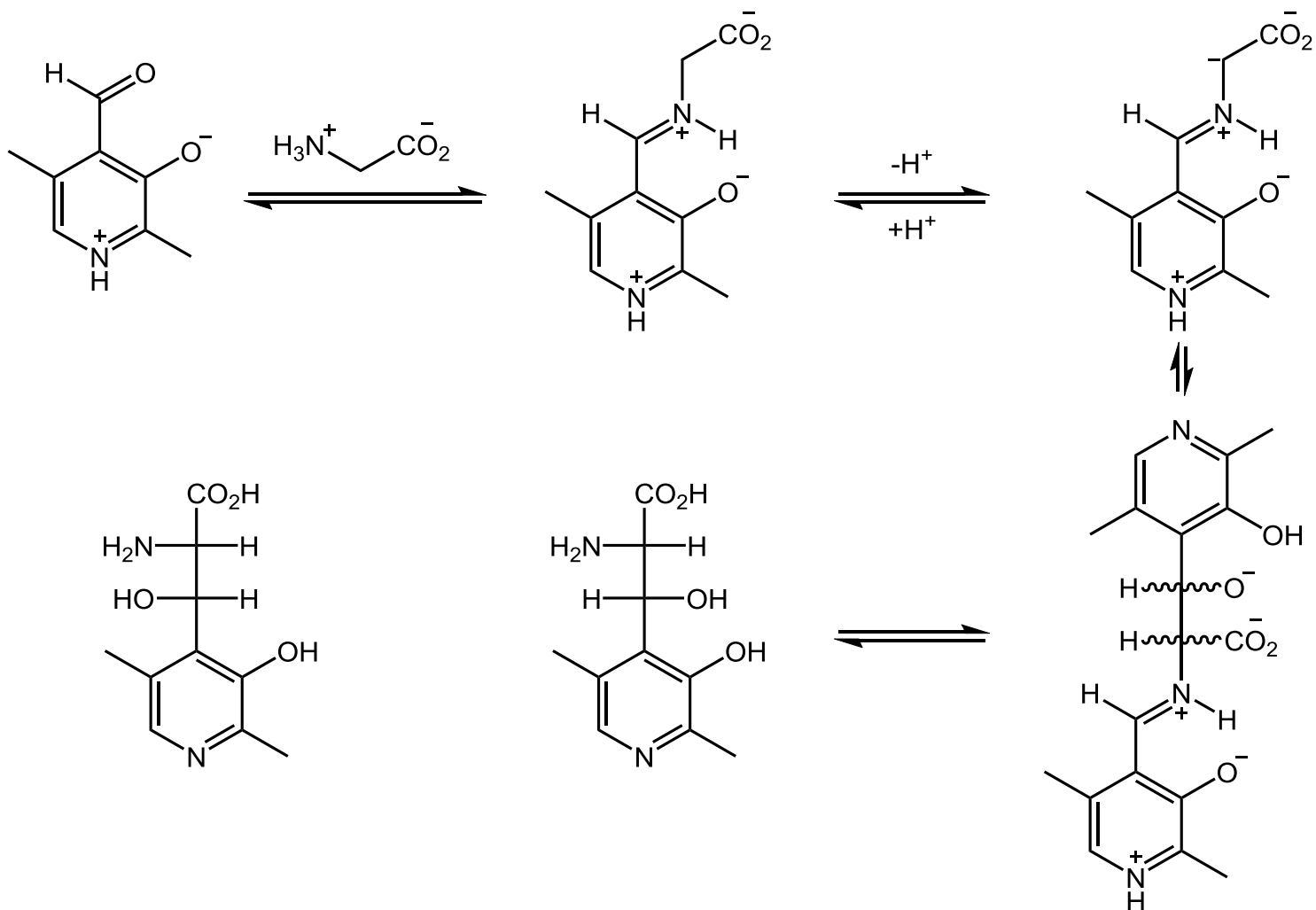
# Claisen-Type Addition of Glycine to Pyridoxal



Richard, J. P. G. *et al.* *J. Am. Chem. Soc.* **2004**, 126, 10538.

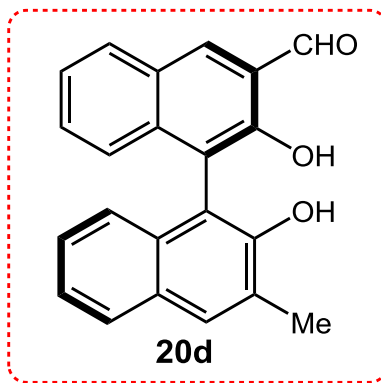
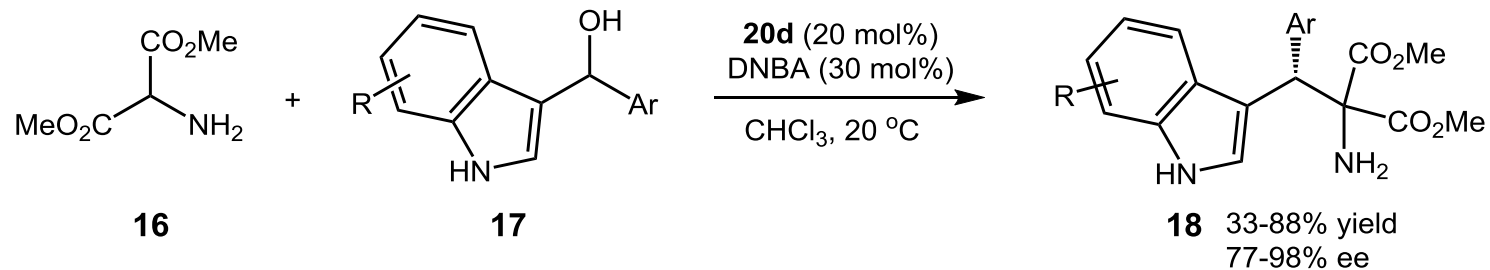


# Claisen-Type Addition of Glycine to Pyridoxal



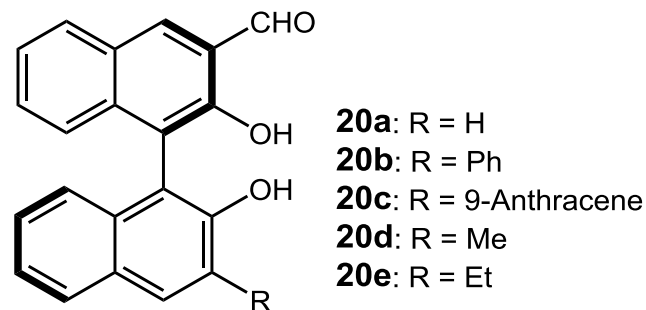
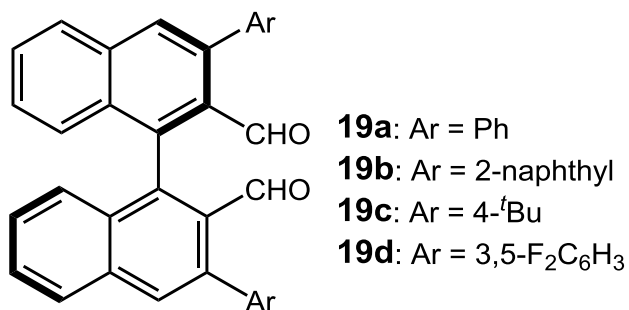
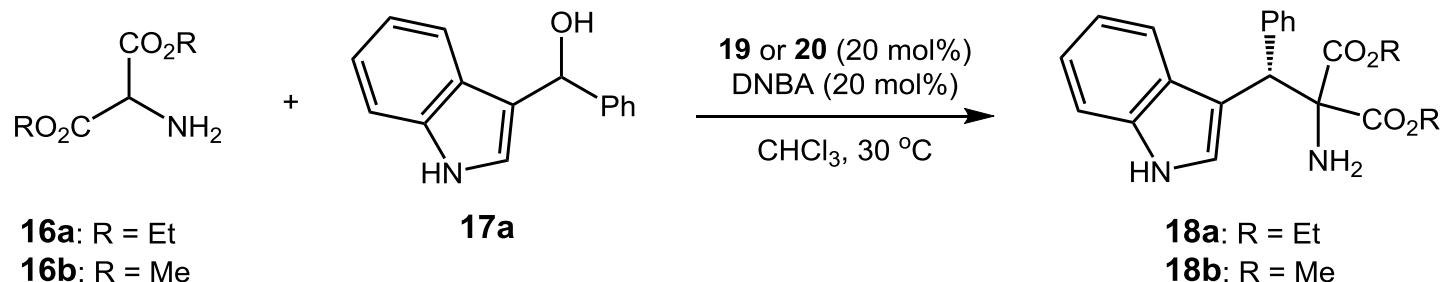
Richard, J. P. G. *et al.* *J. Am. Chem. Soc.* **2004**, 126, 10538.

# Chiral Aldehyde Catalyzed $\alpha$ -Alkylation Reaction



Guo, Q.-X. *et al. Chem. Sci.* **2014**, 5, 1988.

# Optimization of Reaction Conditions



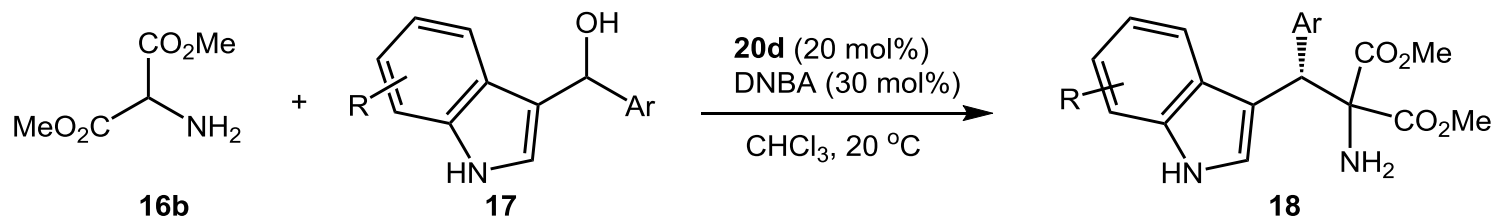
| Entry <sup>a</sup> | Cat.       | <b>16</b>  | Time (h) | Yield (%) <sup>b</sup> | Ee (%) <sup>c</sup> |
|--------------------|------------|------------|----------|------------------------|---------------------|
| 1                  | <b>19a</b> | <b>16a</b> | 24       | 24                     | 50                  |
| 2 <sup>d</sup>     | -          | <b>16a</b> | 12       | 0                      | -                   |
| 3                  | <b>19b</b> | <b>16a</b> | 30       | 37                     | 55                  |
| 4                  | <b>19c</b> | <b>16a</b> | 40       | 42                     | 64                  |
| 5                  | <b>19d</b> | <b>16a</b> | 30       | 32                     | 66                  |

# Optimization of Reaction Conditions

| Entry | Cat.       | <b>16</b>  | Time (h) | Yield (%) <sup>b</sup> | Ee (%) <sup>c</sup> |
|-------|------------|------------|----------|------------------------|---------------------|
| 6     | <b>20a</b> | <b>16a</b> | 4        | 66                     | 71                  |
| 7     | <b>20b</b> | <b>16a</b> | 6        | 57                     | 81                  |
| 8     | <b>20c</b> | <b>16a</b> | 5        | 61                     | 77                  |
| 9     | <b>20b</b> | <b>16b</b> | 4        | 57                     | 82                  |
| 10    | <b>20b</b> | <b>16b</b> | 4        | 65                     | 84 <sup>e</sup>     |
| 11    | <b>20e</b> | <b>16b</b> | 6        | 60                     | 84 <sup>e</sup>     |
| 12    | <b>20d</b> | <b>16b</b> | 6        | 77                     | 86 <sup>e</sup>     |
| 13    | <b>20d</b> | <b>16b</b> | 6        | 72                     | 87 <sup>e,f</sup>   |
| 14    | <b>20d</b> | <b>16b</b> | 9        | 68                     | 86 <sup>e,g</sup>   |
| 15    | <b>20d</b> | <b>16b</b> | 12       | 55                     | 85 <sup>e,h</sup>   |

<sup>a</sup> Entries 1-4: **16** (0.2 mmol), **17a** (0.1 mmol), **19** or **20** (0.02 mmol), CHCl<sub>3</sub> (1 mL), 30 °C; 5–15: **16** (0.4 mmol), **17a** (0.2 mmol), **19** or **20** (0.04 mmol), CHCl<sub>3</sub> (2 mL), 30 °C. <sup>b</sup> Isolated yield. <sup>c</sup> Determined by HPLC. <sup>d</sup> No catalyst added. <sup>e</sup> At 20 °C. <sup>f</sup> Using 50 mol% DNBA. <sup>g</sup> Using 15 mol% **20d**. <sup>h</sup> Using 10 mol% **20d**.

# Substrate Scope



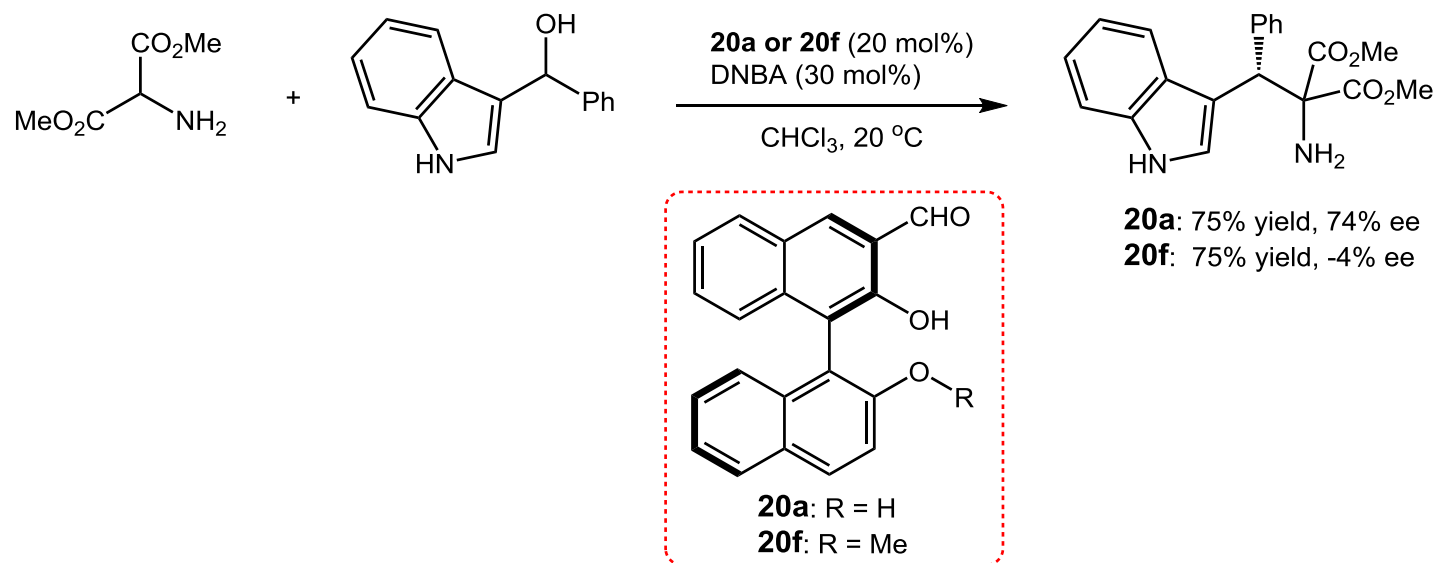
| Entry <sup>a</sup> | <b>18</b>  | Ar  | R | Time (h) | Yield (%) <sup>b</sup> | Ee (%) <sup>c</sup> |
|--------------------|------------|---|---|----------|------------------------|---------------------|
| 1                  | <b>18b</b> | Ph  | H | 6        | 77                     | 86                  |
| 2                  | <b>18c</b> | 2-ClC <sub>6</sub> H <sub>4</sub>               | H | 48       | 68                     | 92                  |
| 3                  | <b>18d</b> | 2-BrC <sub>6</sub> H <sub>4</sub>               | H | 24       | 64                     | 96                  |
| 4                  | <b>18e</b> | 2-FC <sub>6</sub> H <sub>4</sub>                | H | 24       | 62                     | 91                  |
| 5                  | <b>18f</b> | 2-O <sub>2</sub> NC <sub>6</sub> H <sub>4</sub> | H | 120      | 49                     | 95                  |
| 6                  | <b>18g</b> | 2-MeOC <sub>6</sub> H <sub>4</sub>              | H | 7        | 59                     | 82                  |
| 7                  | <b>18h</b> | 3-FC <sub>6</sub> H <sub>4</sub>                | H | 5        | 88                     | 87                  |
| 8                  | <b>18i</b> | 3-MeC <sub>6</sub> H <sub>4</sub>               | H | 18       | 42                     | 84                  |
| 9                  | <b>18j</b> | 3-MeOC <sub>6</sub> H <sub>4</sub>              | H | 6        | 77                     | 87                  |
| 10                 | <b>18k</b> | 4-MeC <sub>6</sub> H <sub>4</sub>               | H | 7        | 53                     | 77                  |

# Substrate Scope

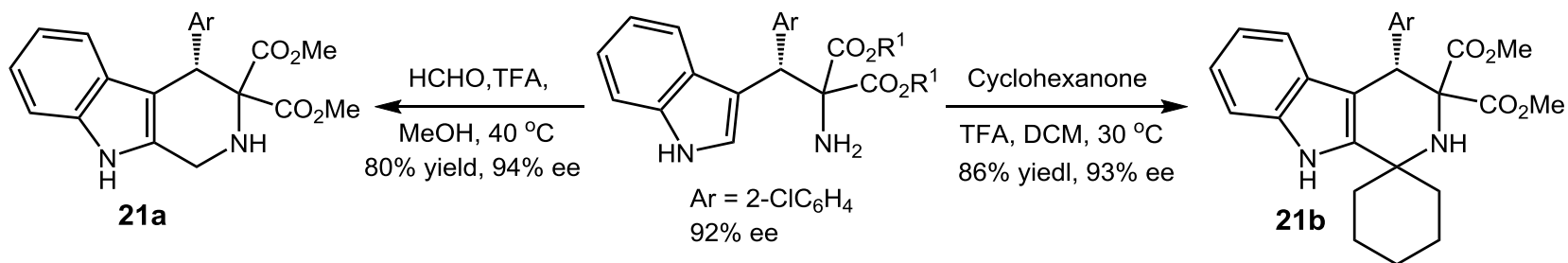
| Entry | <b>18</b>  | Ar  | R    | Time (h) | Yield (%) <sup>b</sup> | Ee (%) <sup>c</sup> |
|-------|------------|---|------|----------|------------------------|---------------------|
| 11    | <b>18l</b> | 4-BrC <sub>6</sub> H <sub>4</sub>               | H    | 13       | 76                     | 87                  |
| 12    | <b>18m</b> | 4-CF <sub>3</sub> C <sub>6</sub> H <sub>4</sub> | H    | 24       | 76                     | 88                  |
| 13    | <b>18n</b> | 1-Naphthyl                                      | H    | 20       | 67                     | 89                  |
| 14    | <b>18o</b> | 2-Naphthyl                                      | H    | 6        | 88                     | 80                  |
| 15    | <b>18p</b> | 1-Naphthyl                                      | 5-Br | 10       | 86                     | 82                  |
| 16    | <b>18q</b> | 1-Naphthyl                                      | 5-Cl | 10       | 84                     | 79                  |
| 17    | <b>18r</b> | 1-Naphthyl                                      | 6-F  | 21       | 49                     | 94                  |
| 18    | <b>18s</b> | 1-Naphthyl                                      | 7-Me | 21       | 33                     | 92                  |
| 19    | <b>18t</b> | 1-Naphthyl                                      | 7-Me | 41       | 43                     | 98 <sup>d</sup>     |
| 20    | <b>18u</b> | 1-Naphthyl                                      | 7-Me | 15       | 67                     | 95 <sup>d</sup>     |

<sup>a</sup> **1** (0.4 mmol), **2** (0.2 mmol), **5d** (0.04 mmol), DNBA (0.06 mmol), CHCl<sub>3</sub> (2 mL), 20 °C. <sup>b</sup> Isolated yield. <sup>c</sup> Determined by HPLC. <sup>d</sup> At 40 °C.

# Control Experiment

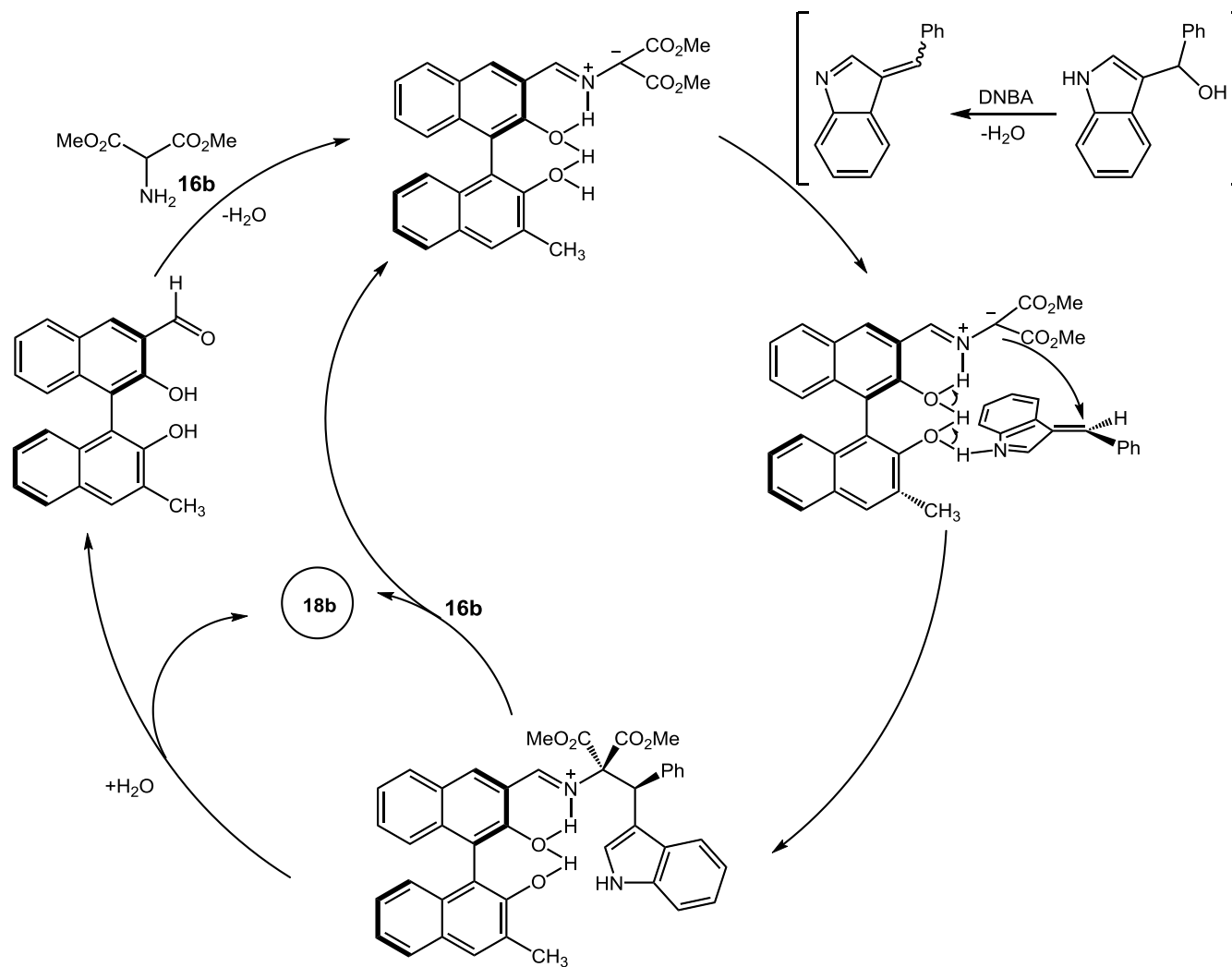


# Product Conversion

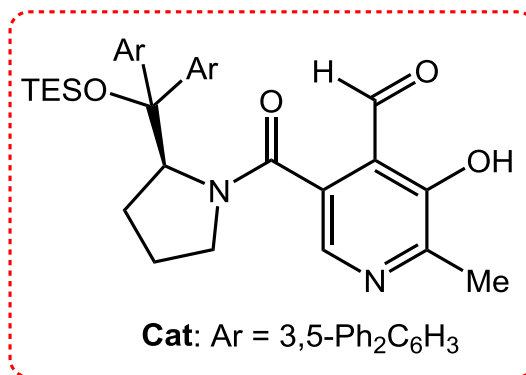
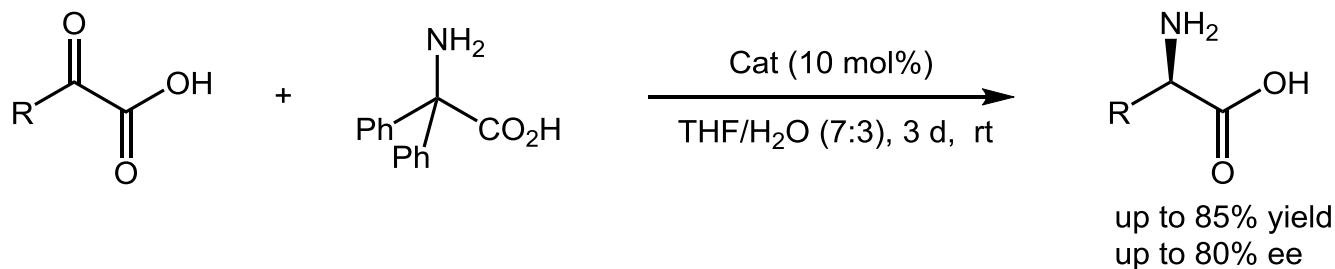




# Proposed Catalytic Cycle

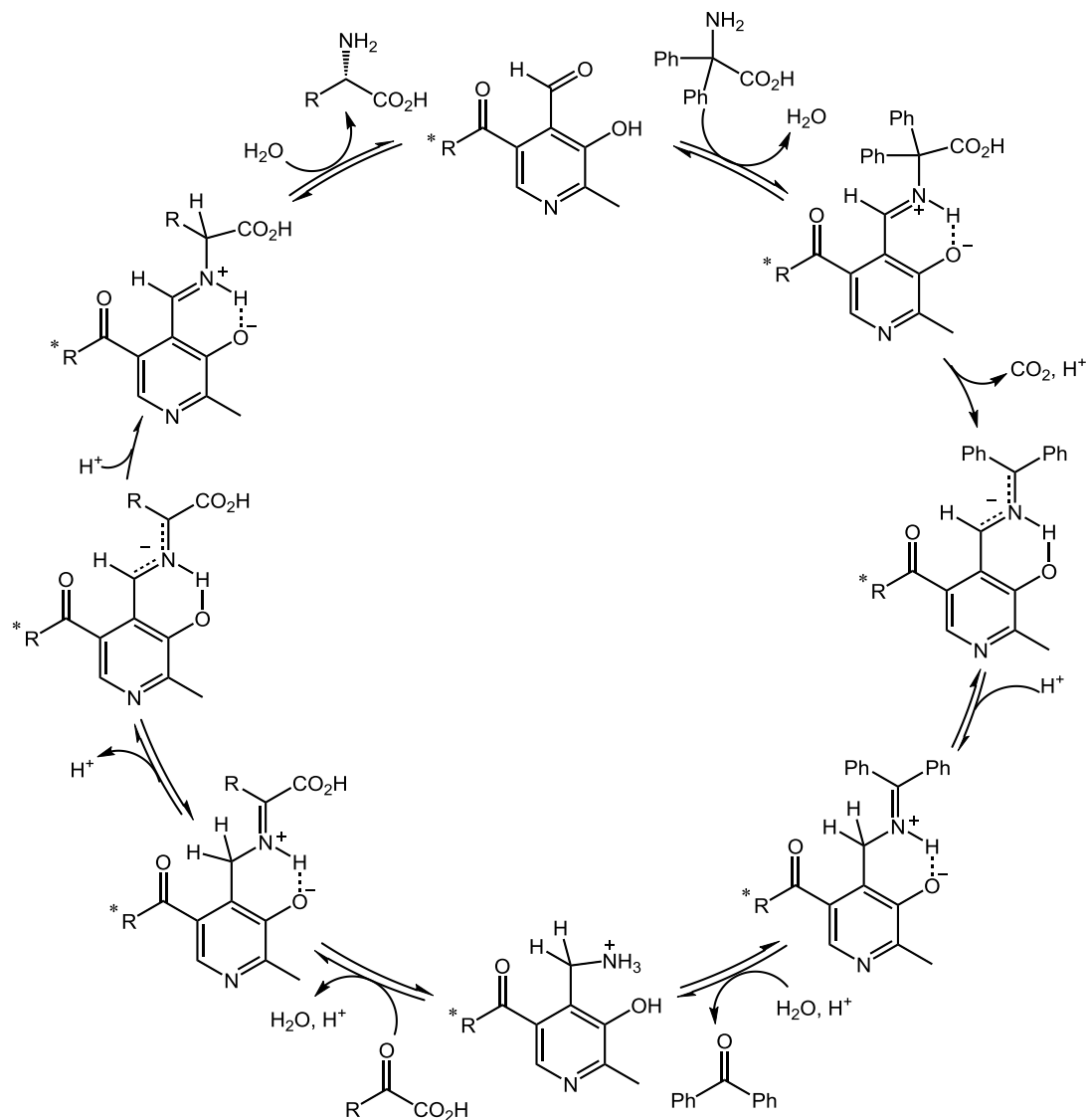


# Chiral Pyridoxal-catalyzed Transamination

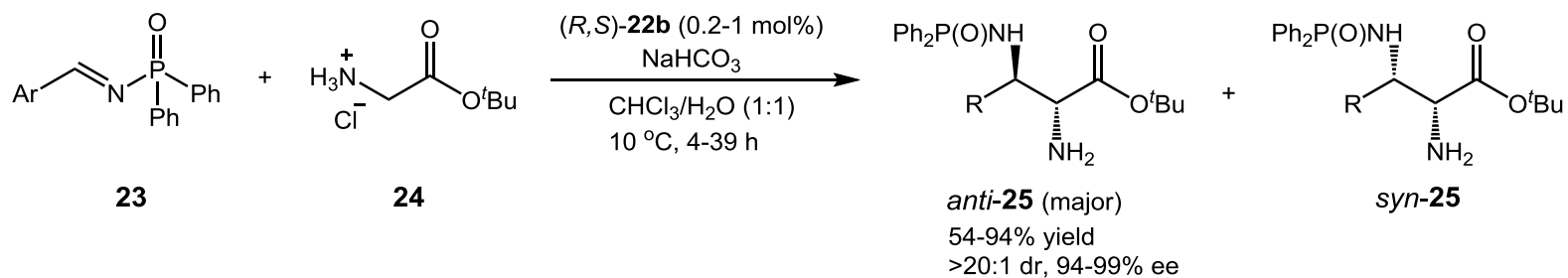
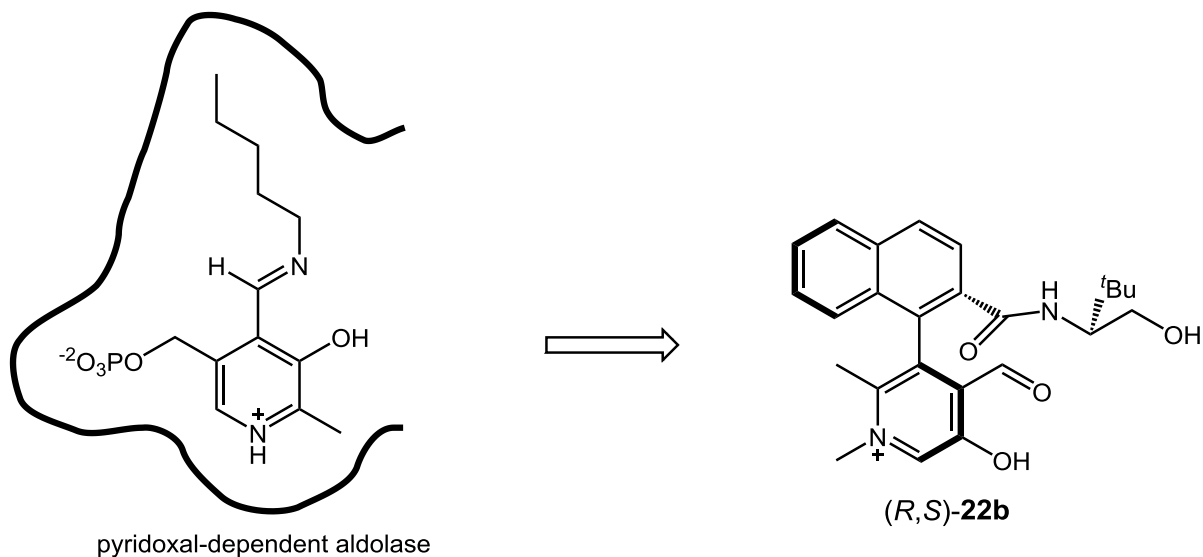


Zhao, B. *et al. Org. Lett.* **2015**, 17, 5784.

# Proposed Mechanism

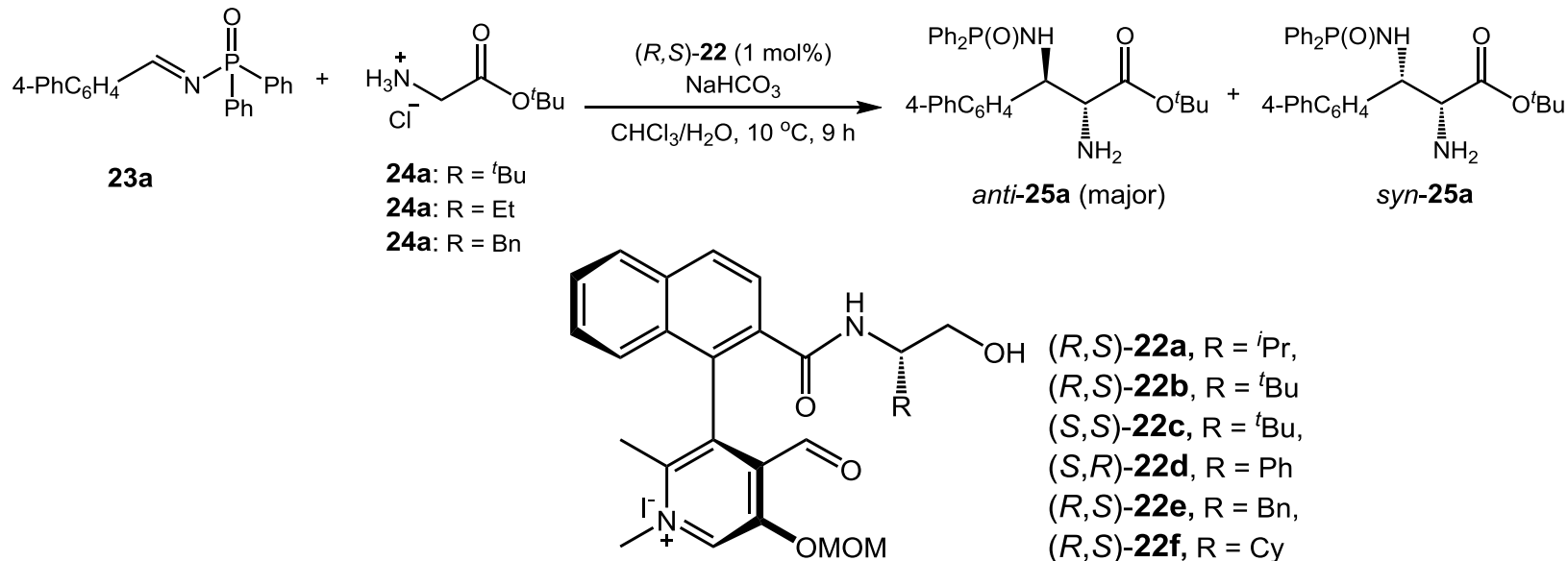


# Asymmetric Mannich Reaction



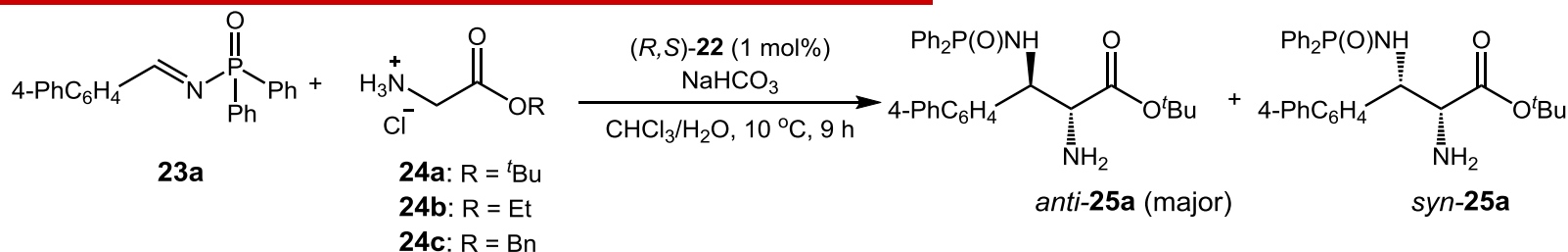
Zhao, B. *et al. Science* **2018**, 360, 1438.

# Optimization of Reaction Conditions



| Entry <sup>a</sup> | Cat.                       | <b>24</b>  | CHCl <sub>3</sub> /H <sub>2</sub> O | Yield (%) <sup>b</sup> | dr <sup>c</sup> | Ee (%) <sup>d</sup> |
|--------------------|----------------------------|------------|-------------------------------------|------------------------|-----------------|---------------------|
| 1                  | ( <i>R,S</i> )- <b>22a</b> | <b>24a</b> | 1:1                                 | 79                     | 18:1            | 95                  |
| 2                  | ( <i>R,S</i> )- <b>22b</b> | <b>24a</b> | 1:1                                 | 90                     | >20:1           | 99                  |
| 3                  | ( <i>S,S</i> )- <b>22c</b> | <b>24a</b> | 1:1                                 | 41                     | 5:1             | 16                  |
| 4                  | ( <i>S,R</i> )- <b>22d</b> | <b>24a</b> | 1:1                                 | 84                     | >20:1           | -97                 |
| 5                  | ( <i>R,S</i> )- <b>22e</b> | <b>24a</b> | 1:1                                 | 73                     | >20:1           | 94                  |
| 6                  | ( <i>R,S</i> )- <b>22f</b> | <b>24b</b> | 1:1                                 | 76                     | >20:1           | 95                  |

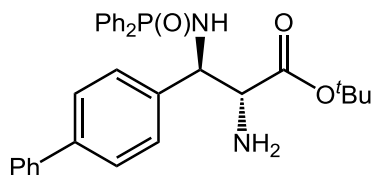
# Optimization of Reaction Conditions



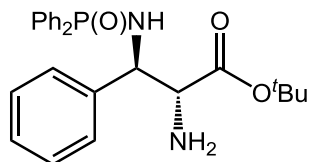
| Entry <sup>a</sup> | Cat.               | <b>24</b>  | $\text{CHCl}_3/\text{H}_2\text{O}$ | Yield (%) <sup>b</sup> | dr <sup>c</sup> | Ee (%) <sup>d</sup> |
|--------------------|--------------------|------------|------------------------------------|------------------------|-----------------|---------------------|
| 7                  | none               | <b>24a</b> | 1:1                                | 0                      | -               | -                   |
| 8                  | $(R,S)\text{-22b}$ | <b>24a</b> | 3:7                                | 82                     | >20:1           | 98                  |
| 9                  | $(R,S)\text{-22b}$ | <b>24a</b> | 7:3                                | 76                     | >20:1           | 98                  |
| 10                 | $(R,S)\text{-22b}$ | <b>24a</b> | 9:1                                | 74                     | >20:1           | 97                  |
| 11                 | $(R,S)\text{-22b}$ | <b>24a</b> | 10:0                               | 47                     | >20:1           | 97                  |
| 12                 | $(R,S)\text{-22b}$ | <b>24b</b> | 1:1                                | 65                     | >20:1           | 99                  |
| 13                 | $(R,S)\text{-22b}$ | <b>24c</b> | 1:1                                | 64                     | >20:1           | 99                  |

<sup>a</sup> All reactions were carried out with **23a** (0.10 mmol), **24** (0.15 mmol), **22** (0.0010 mmol), and  $\text{NaHCO}_3$  (0.25 mmol) in solvent (0.30 mL) at 10 °C unless otherwise stated. For entry 2, the reaction was carried out in double scale. <sup>b</sup> Isolated yield based on imine **23a**. <sup>c</sup> The dr (*anti/syn*) values were determined by  $^1\text{H}$  NMR analysis of the crude reaction mixtures after the reaction was quenched by treatment with hydroxylamine hydrochloride (1.0 equiv). <sup>d</sup> The ee values were determined by HPLC analysis after the product **25a** was converted to the corresponding *N*-benzoyl derivative.

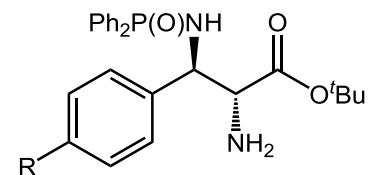
# Substrate Scope



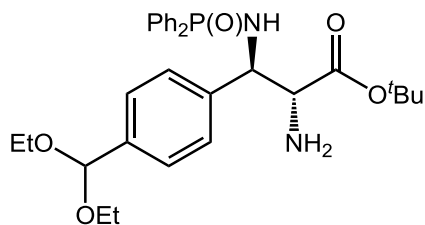
**25a:** (1 mol%): 90%, >20:1 dr, 99% ee  
**25a:** (0.1 mol%): 89%, >20:1 dr, 99% ee



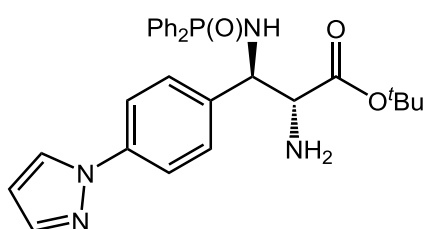
**25b:** 93%, >20:1 dr, 98% ee



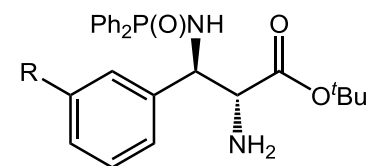
**25c:** (R = Me): 83%, >20:1 dr, 99% ee  
**25d:** (R = MeO): 62%, >20:1 dr, 99% ee  
**25e:** (R = F): 84%, >20:1 dr, 96% ee  
**25f:** (R = CN): 76%, >20:1 dr, 95% ee



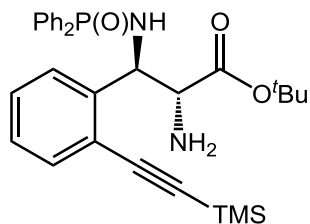
**25g:** 77%, >20:1 dr, 98% ee



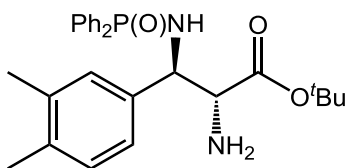
**25h:** 92%, >20:1 dr, 95% ee



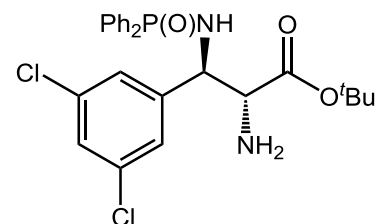
**25i:** (R = Me): 78%, >20:1 dr, 99% ee  
**25j:** (R = MeO): 92%, >20:1 dr, 99% ee  
**25k:** (R = Cl): 76%, >20:1 dr, 96% ee  
**25l:** (R = CF<sub>3</sub>): 78%, >20:1 dr, 95% ee



**25m:** 54%, >20:1 dr, 99% ee

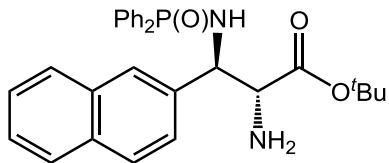


**25n:** 87%, >20:1 dr, 99% ee

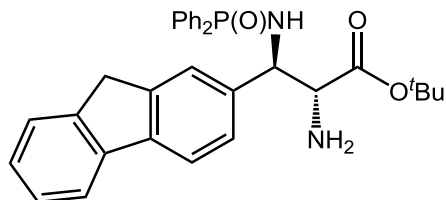


**25o:** 82%, >20:1 dr, 94% ee

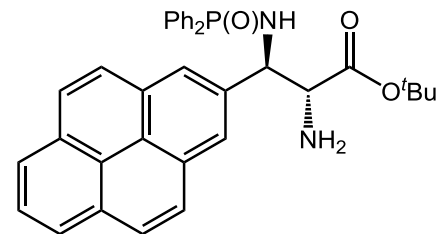
# Substrate Scope



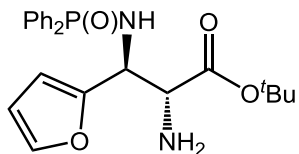
**25p**: 66%, >20:1 dr, 99%ee



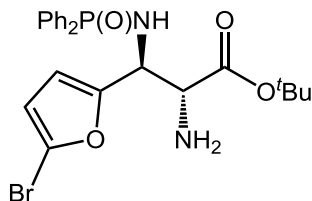
**25q**: 74%, >20:1 dr, 99%ee



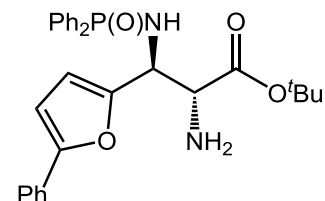
**25r**: 77%, >20:1 dr, 98%ee



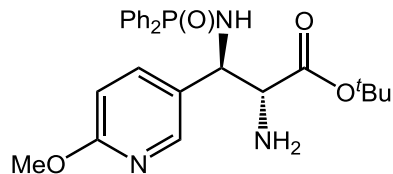
**25s**: 92%, >20:1 dr, 99%ee



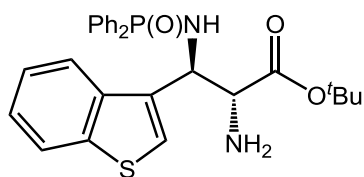
**25t**: 91%, >20:1 dr, 98%ee



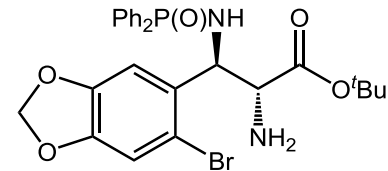
**25u**: 94%, >20:1 dr, 98%ee



**25v**: 74%, >20:1 dr, 99%ee



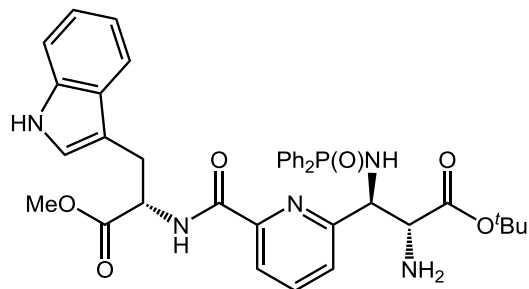
**25w**: 67%, >20:1 dr, 98%ee



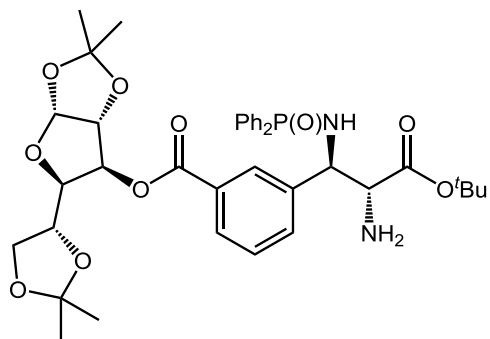
**25x**: 84%, >20:1 dr, 99%ee



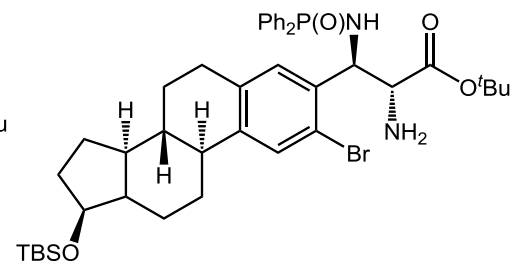
# Substrate Scope



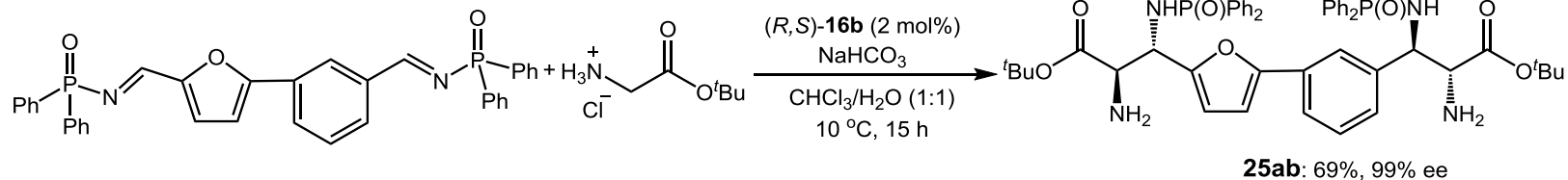
**25y**: 83%, 17:1 dr



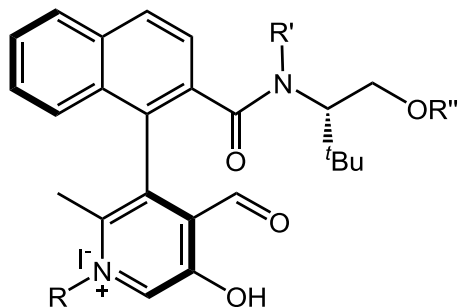
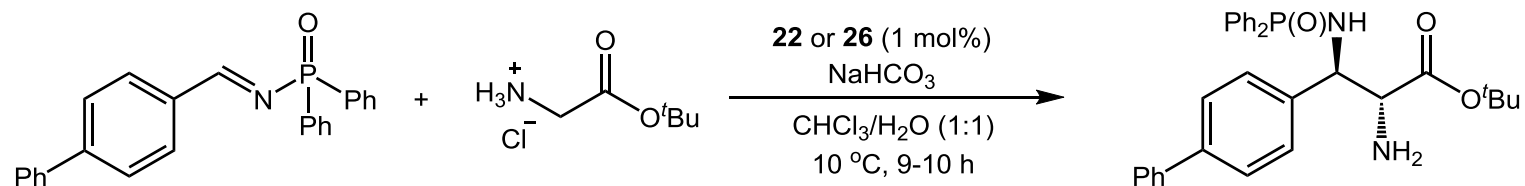
**25z**: 78%, >20:1 dr



**25aa**: 62%, >20:1 dr

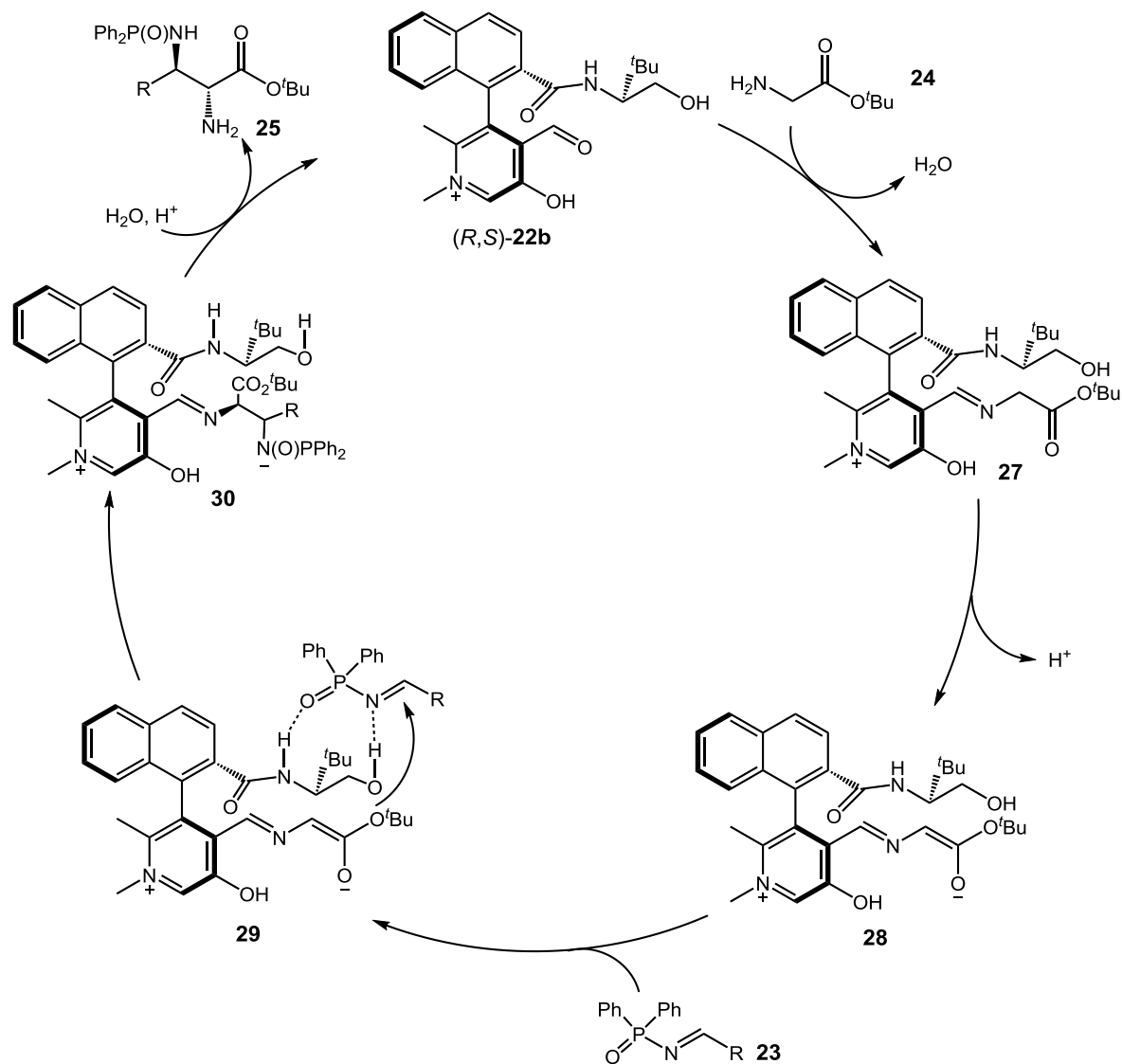


# Control Experiments

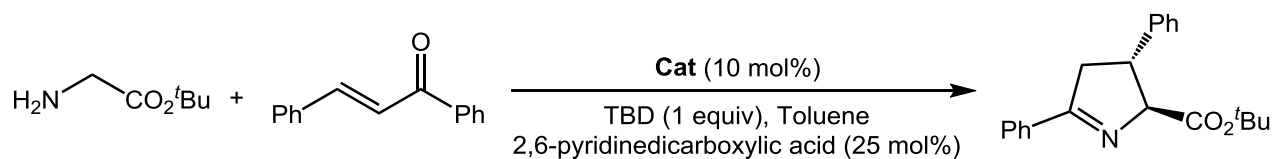


*(R,S)*-**22b**, (R = Me, R' = H, R'' = H): 90%, >20:1 dr, 99% ee  
*(R,S)*-**22b**, (R = Me, R' = H, R'' = Me): 27%, 12:1 dr, -31% ee  
*(R,S)*-**22b**, (R = Me, R' = Me, R'' = H): 9%, 1:1 dr, -87% ee  
*(R,S)*-**26**, (R = none, R' = H, R'' = H): 0%

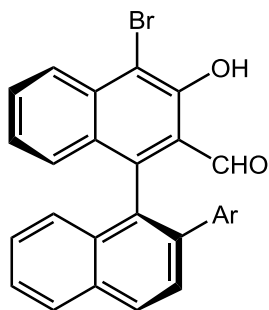
# Proposed Mechanism



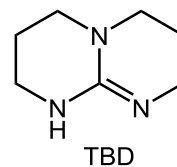
# Chiral Aldehyde Catalysis



up to 95% yield  
up to 99:1 dr  
up to 96% ee

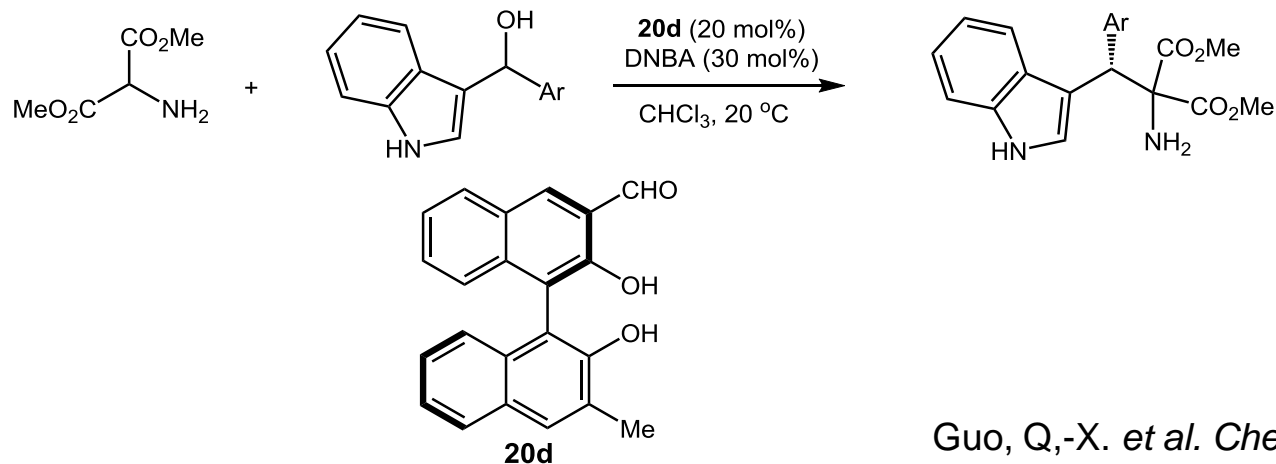


Cat: Ar = 2-naphthyl

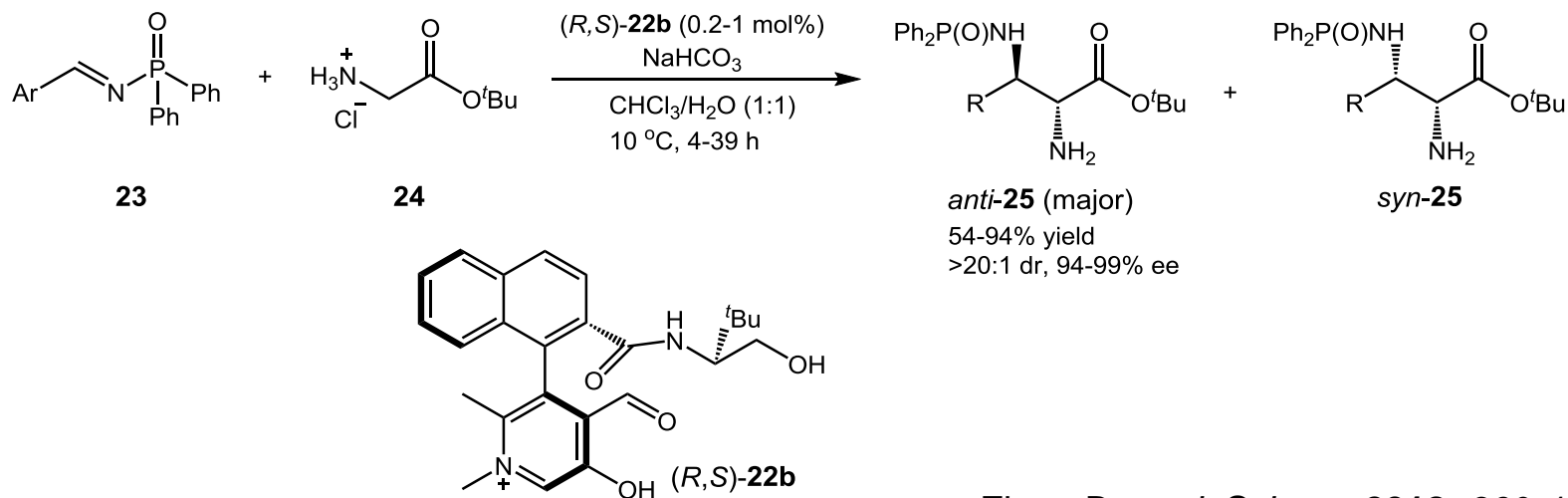


Guo, Q.-X. *et al. J. Am. Chem. Soc.* **2018**, 140, 9774.

# Summary



Guo, Q.-X. *et al. Chem. Sci.* **2014**, 5, 1988.



Zhao, B. *et al. Science* **2018**, 360, 1438.

# The First Paragraph

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Enamine catalysis is a powerful activation mode in organocatalysis. The process involves conversion of carbonyl compound into enamine through imine intermediate **3** (an iminium intermediate if a secondary amine is applied) and is catalyzed by amine **2**. Nucleophilic addition of the activated enamine to an electrophile can proceed to yield substituted carbonyl **6**. On the other hand, the formation of imine **3** also increases the  $\alpha$ -H acidity of amine **2** to facilitate formation of the  $\alpha$ -amino carbanion **7**, which can also react with an electrophile to produce **8**. If the product **8** can be hydrolyzed under the reaction conditions to regenerate **1**, it would be possible to use the carbonyl compound **1** as a catalyst to promote  $\alpha$ -functionalization of amine **2**.

# The Last Paragraph

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The side chain of catalysts influences activity and selectivity. To explain the side-chain effect as well as the origin of chirality, we propose the orientation for the addition of carbanion to imine. As glycinate is deprotonated to yield the delocalized carbanion, imine is also activated by the side chain through hydrogen bonds with the N–H and O–H moieties. We thus propose that the catalyst not only activates both of the substrates but, similar to an enzyme, also orients the addition by bringing the two reactants together with a specific spatial arrangement. This cooperative bifunctional activation mode leads to product with excellent selectivities. The proposed transition state is further supported by control experiments. Methylation of the N–H or O–H group of the side chain led to decreases in activity and in diastereo and enantioselectivities, likely because the methylation weakens or eliminates the hydrogen bond with the imine.

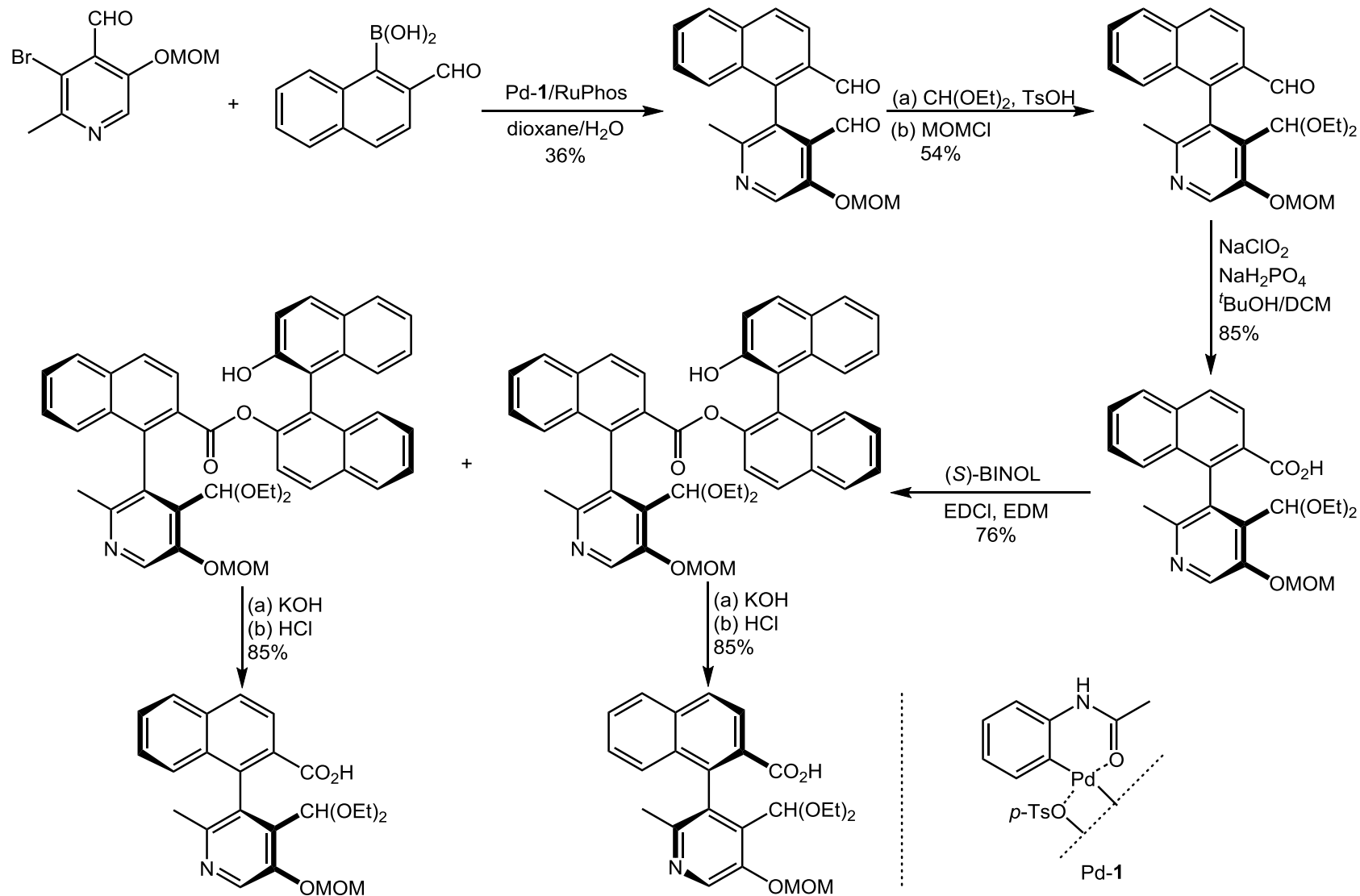
# Acknowledgement

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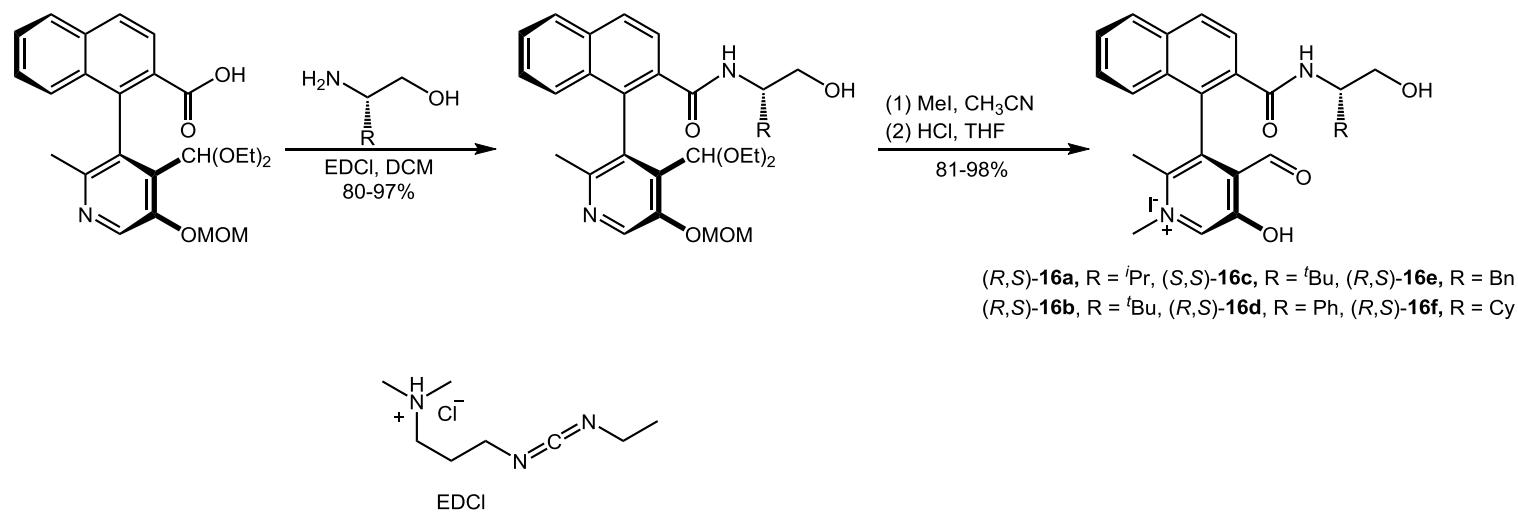
***Thanks  
for your attention***



# Synthesis of Catalysts



# Synthesis of Catalysts



Zhao, B. *et al. Science* **2018**, 360, 1438.