

## Literature Report V

# Construction of Chiral Tetrahydro- $\beta$ -Carbolines: Asymmetric Pictet–Spengler Reaction of Indolyl Dihydropyridines

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**Checker : Xin-Wei Wang**

**Date : 2018-04-02**

You, S.-L. *et al. Angew. Chem. Int. Ed.* **2017**, 56, 7440.

You, S.-L. *et al. Angew. Chem. Int. Ed.* **2018**, 57, 2653.

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  - 3** Asymmetric Dearomatization of Indolyl Dihydropyridines
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# CV of Shu-Li You

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## Education:

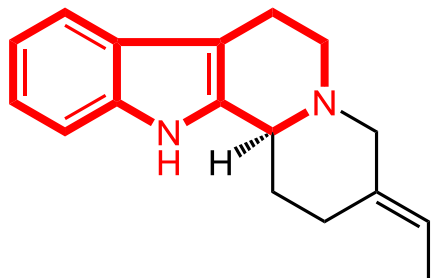
- **1992–1996** B.S., Nankai University
  - **1996–2001** Ph.D., SIOC (Prof. Li-Xin Dai)
  - **2001–2004** Postdoc., The Scripps Research Institute (Prof. Jeffery W. Kelly)
  - **2004–2006** Principal Investigator, Genomics Institute of the Novartis Research Foundation, San Diego
  - **2006–Present** Professor, SIOC
- 

## Research:

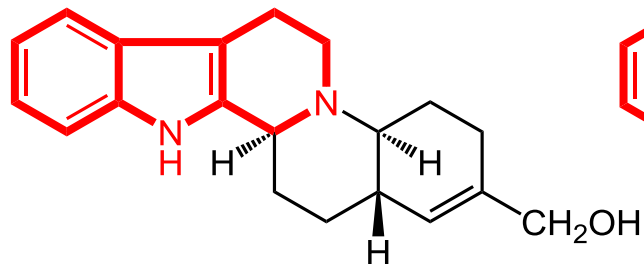
- ★ Enantioselective C-H bond direct functionalization processes
  - ★ Catalytic asymmetric dearomatization reactions
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# Introduction

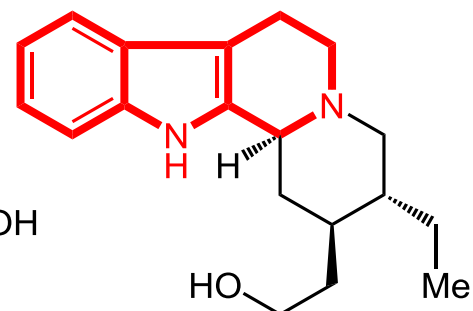
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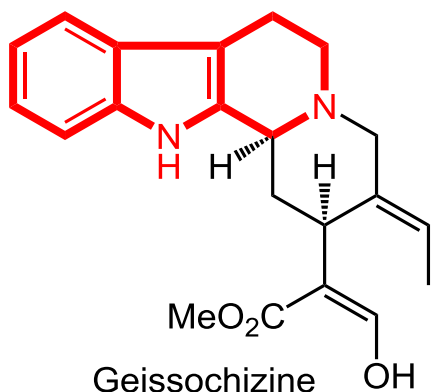
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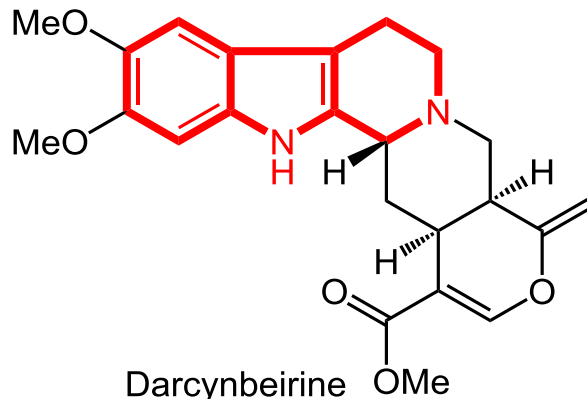
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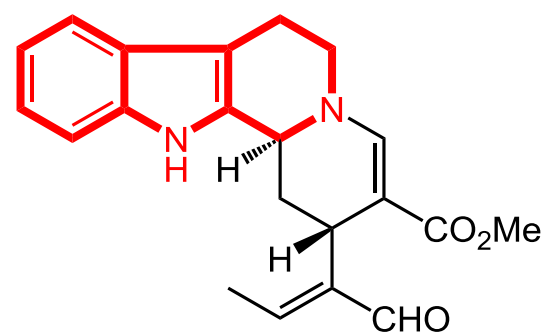
Dihydrocorynantheol



Geissochizine



Darcynbeirine

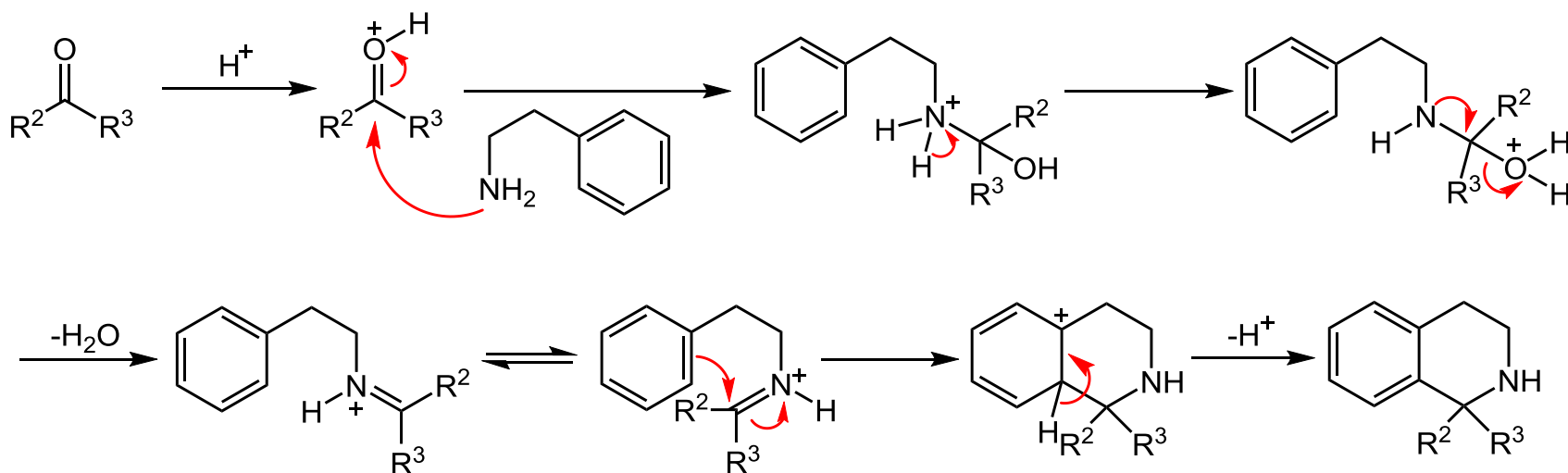
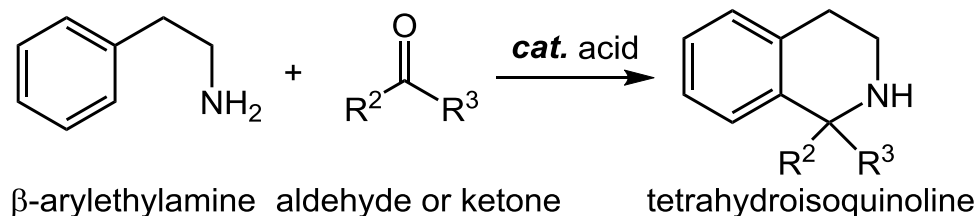


Vallesiachotamine

Higuchi. K. *et al. Nat. Prod. Rep.* **2005**, *22*, 761.  
Yamada. F. *et al. Nat. Prod. Rep.* **2004**, *21*, 278.

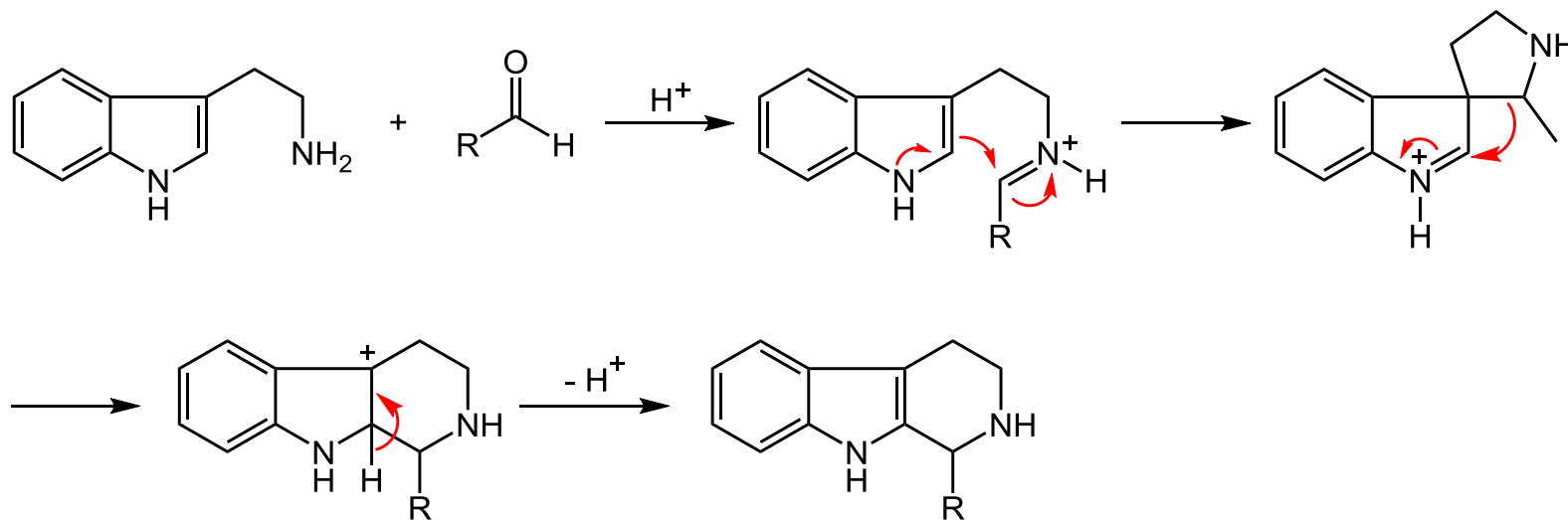
# Pictet-Spengler reaction

The Pictet-Spengler reaction is an organic reaction used to convert a  $\beta$ -arylethylamine and an aldehyde or ketone to a tetrahydroisoquinoline using an acid catalyst.



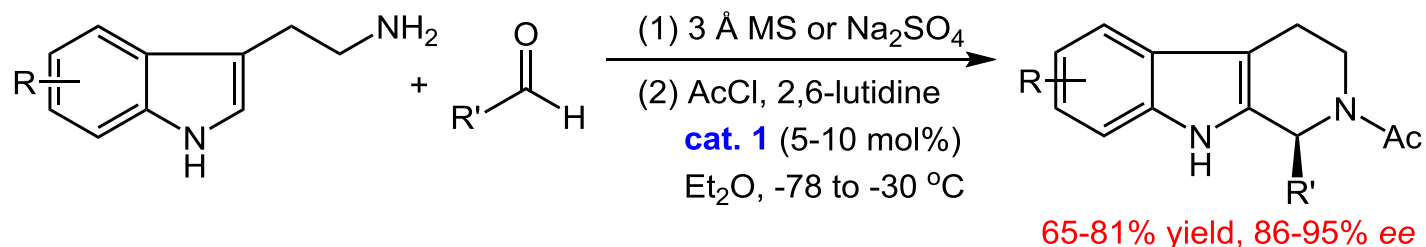
Pictet, A.; Spengler, T. *Ber. Dtsch. Chem. Ges.* **1911**, 44, 2030.

# Pictet-Spengler reaction-Second Mechanism

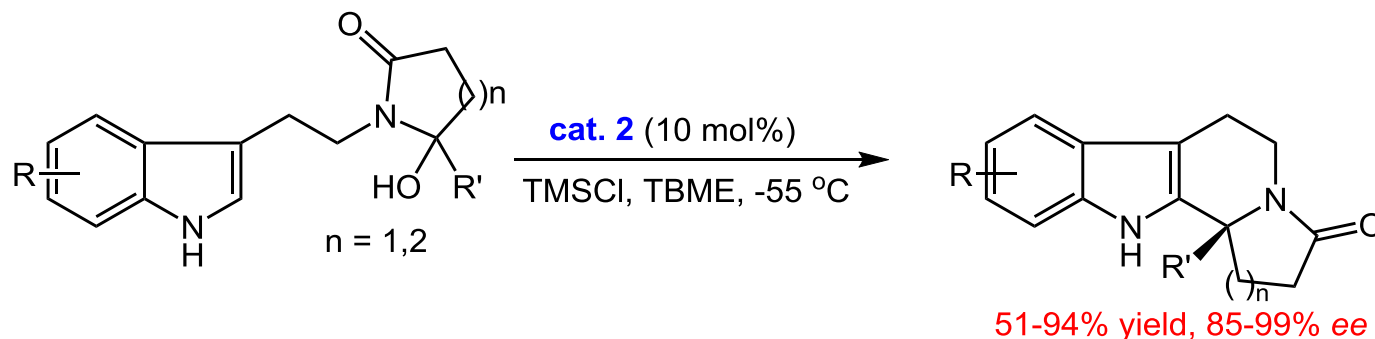


From Wikipedia

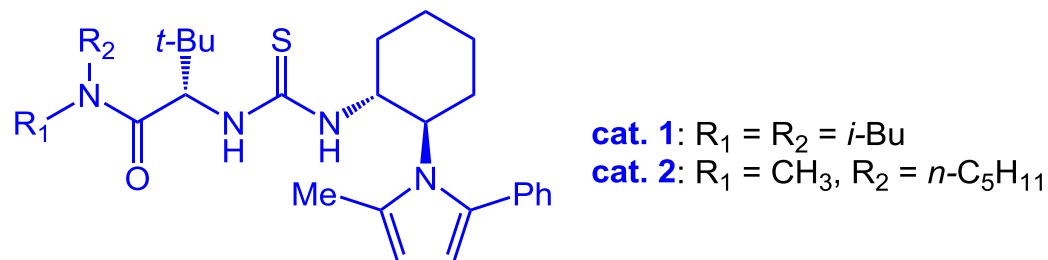
# Thiourea derivatives catalyzed asymmetric PS reactions



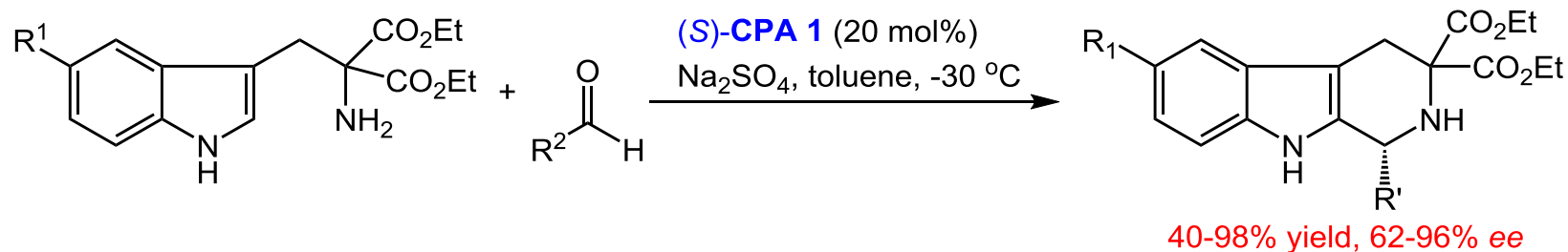
Jacobsen, E. N. *et al. J. Am. Chem. Soc.* **2004**, 126, 10558.



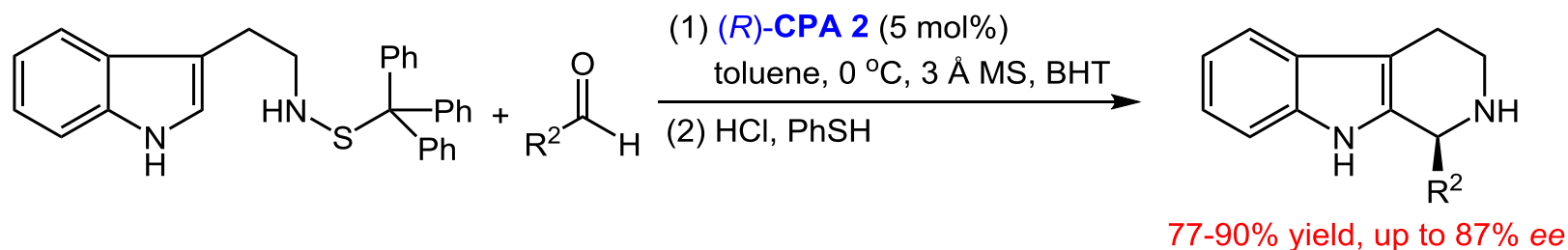
Jacobsen, E. N. *et al. J. Am. Chem. Soc.* **2007**, 129, 13404.



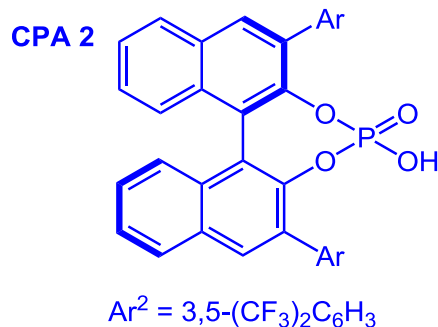
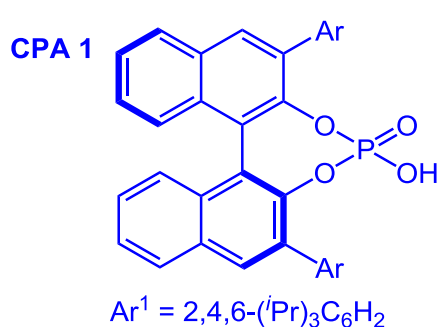
# CPA catalyzed asymmetric PS reactions



List, B. *et al. J. Am. Chem. Soc.* **2006**, 128, 1086.

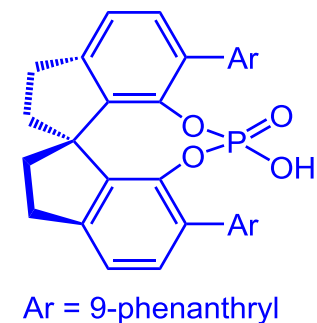
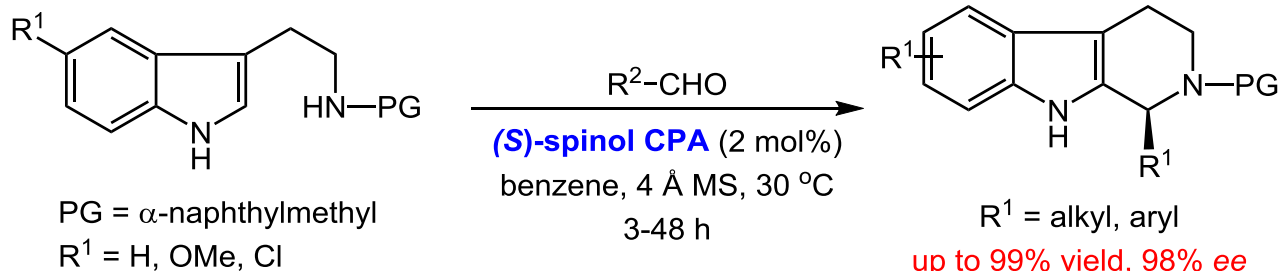


Hiemstra, H. *et al. Angew. Chem., Int. Ed.* **2007**, 46, 7485.

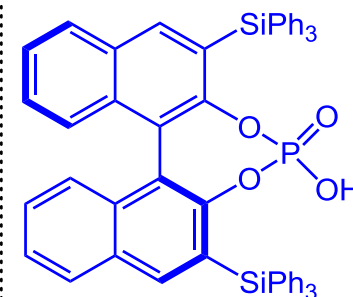
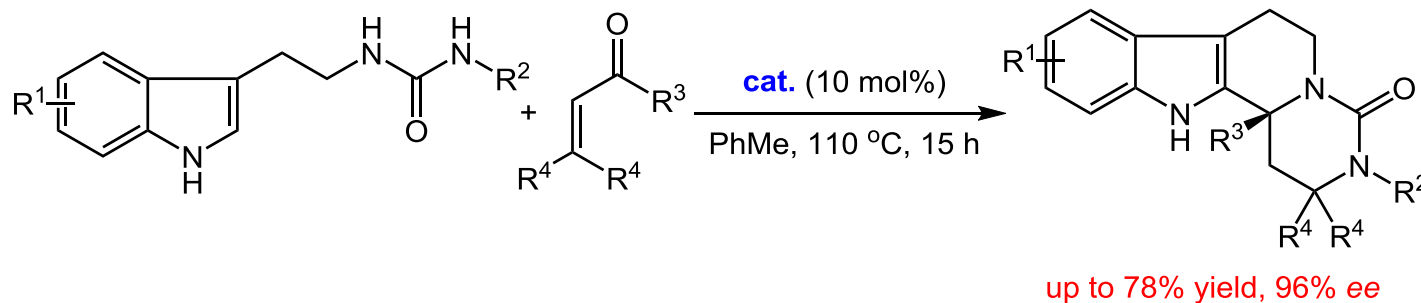




# CPA catalyzed asymmetric PS reactions

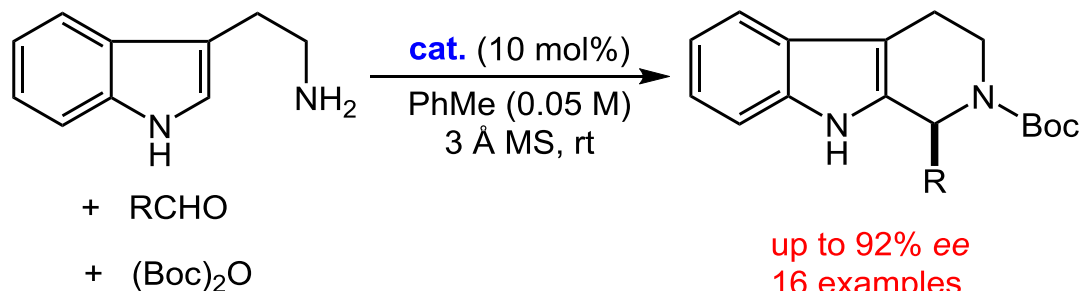


Wang, Y. *et al. Chem. Eur. J.* **2012**, *18*, 3148.

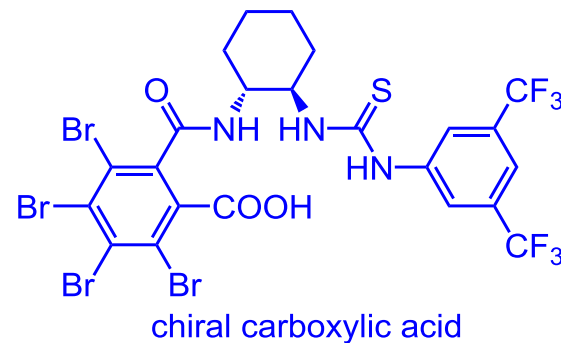


Dixon, D. J. *et al. Org. Lett.* **2013**, *15*, 2946.

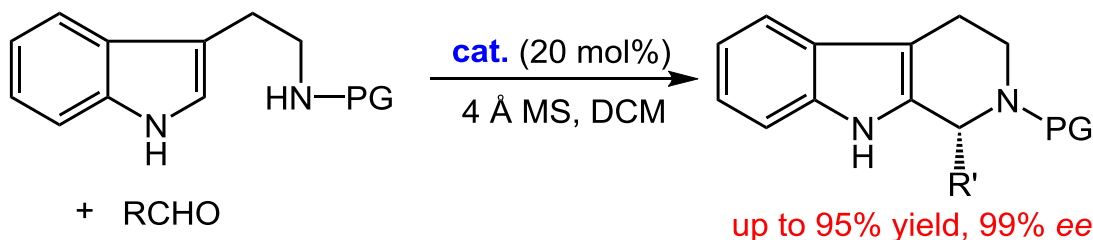
# Chiral Carboxylic Acids and Squaramide-Cinchona



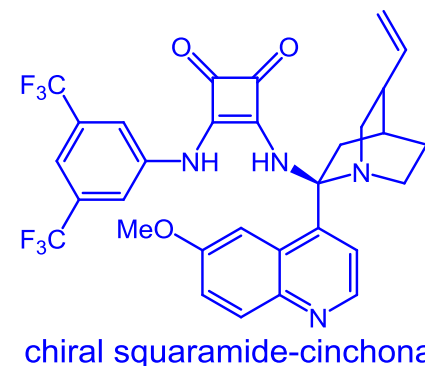
up to 92% ee  
16 examples



Seidel, D. *et al. Org. Lett.* **2014**, 16, 1012.

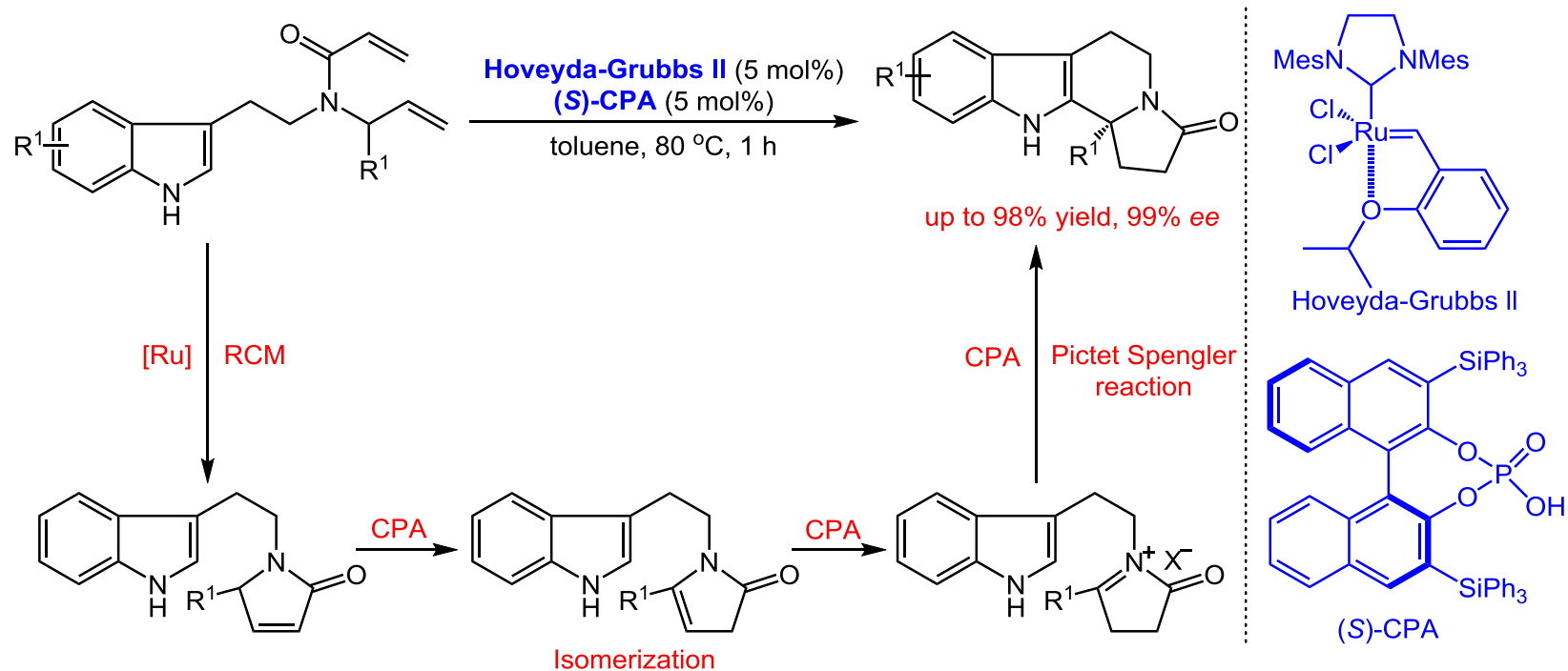


up to 95% yield, 99% ee



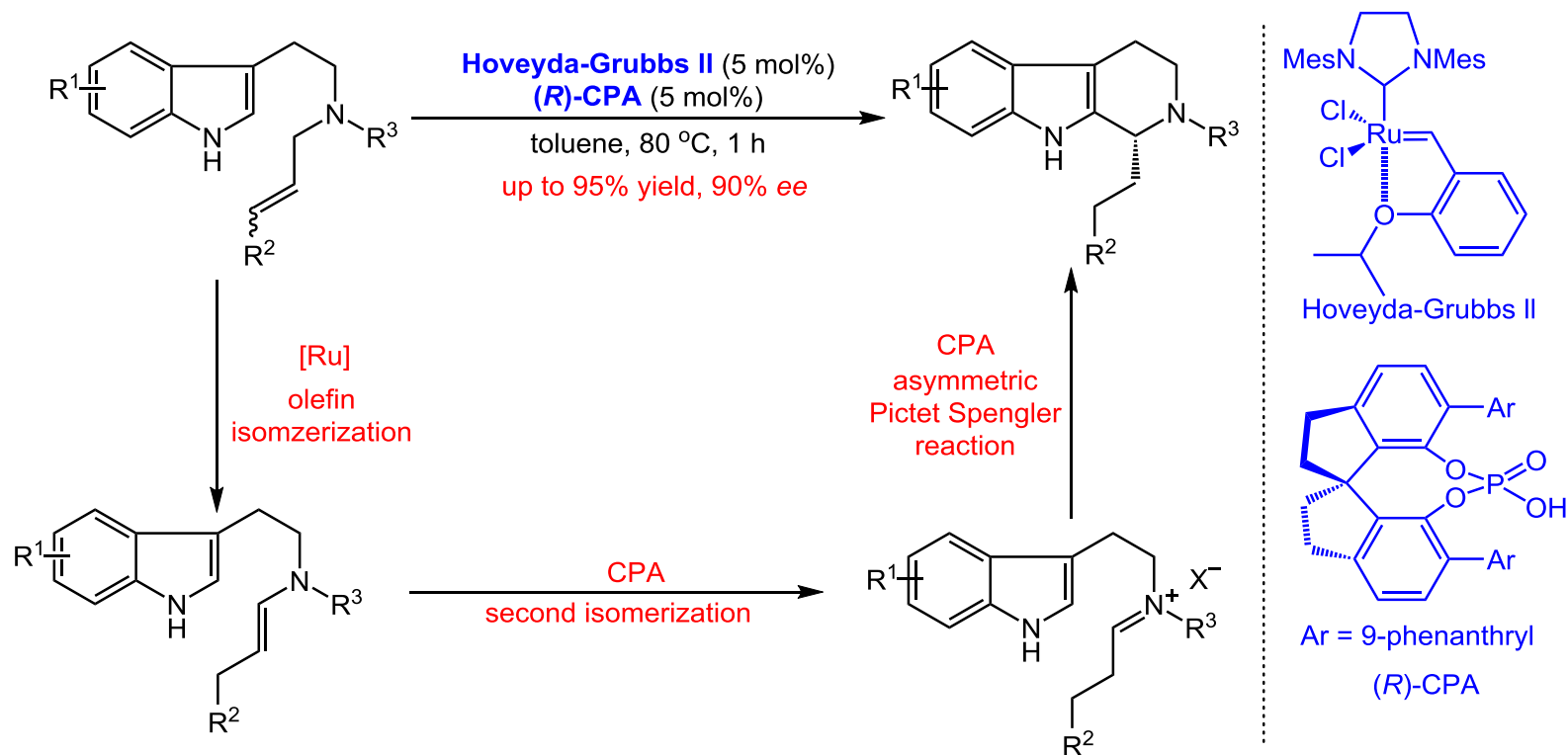
Zhong, W.-H. *et al. Org. Biomol. Chem.* **2018**, 16, 566.

# RCM/Isomerization/PS Cascade



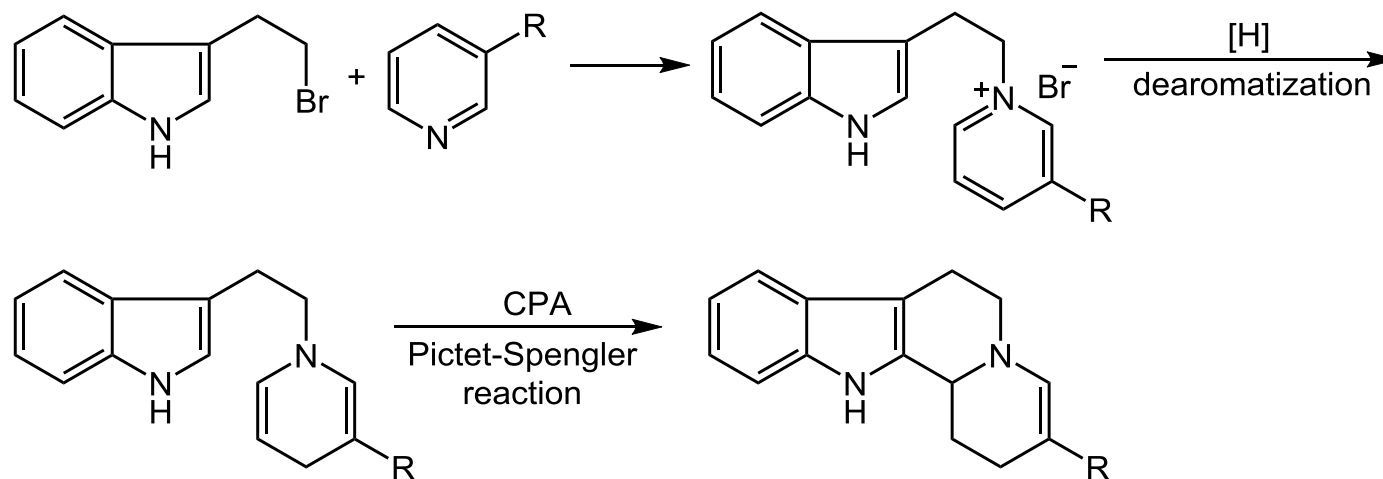
You, S.-L. *et al.* *Org. Lett.* **2012**, *14*, 5022.

# Isomerization/asymmetric PS cascade



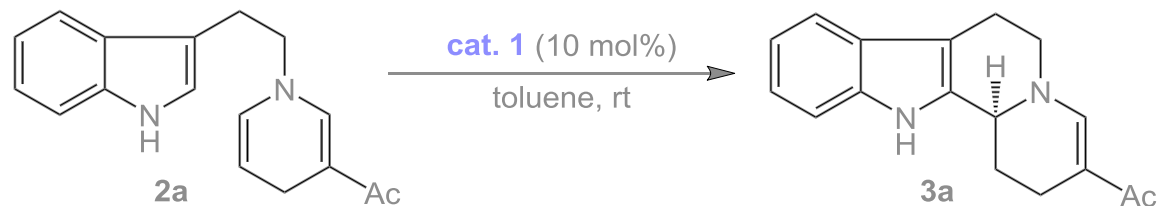
You, S.-L. *et al.* *Org. Biomol. Chem.* **2013**, *11*,1602.

# Asymmetric Pictet-Spengler Reaction of Indolyl Dihydropyridines



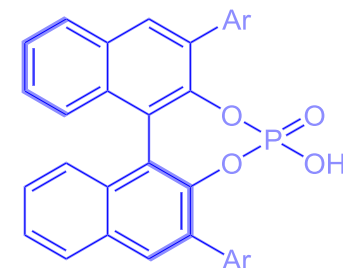
You, S.-L. *et al. Angew. Chem. Int. Ed.* **2017**, *56*, 7440.

# Condition Optimization



Entry <sup>a</sup>	Catalyst	<i>t</i> (h)	Yield (%)	ee (%)
1	<b>1a</b>	3.5	66	38
2	<b>1b</b>	10	42	10
3	<b>1c</b>	3.5	56	31
4	<b>1d</b>	3.5	10	14
5	<b>1e</b>	3.5	81	25
6	<b>1f</b>	3.5	80	11
7	<b>1g</b>	3.5	70	35
<b>8</b>	<b>1h</b>	<b>3.5</b>	<b>80</b>	<b>62</b>
9	<b>1i</b>	3.5	69	20
10	<b>1j</b>	3.5	81	40

<sup>a</sup> Reaction conditions: **2a** (0.1 mmol), **1** (10 mol%), additives (50 mg), solvent (2.0 mL), room temperature.



**(S)-BINOL-CPA**

**1a**, Ar = 2,4,6-*i*Pr<sub>3</sub>-C<sub>6</sub>H<sub>2</sub>

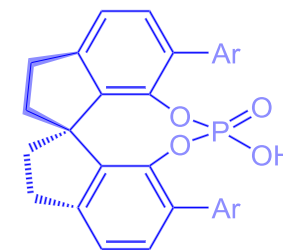
**1b**, Ar = 2-naphthyl

**1c**, Ar = SiPh<sub>3</sub>

**1d**, Ar = 4-Ph-C<sub>6</sub>H<sub>4</sub>

**1e**, Ar = 9-anthryl

**1f**, Ar = 3,5-(CF<sub>3</sub>)<sub>2</sub>-C<sub>6</sub>H<sub>3</sub>



**(R)-SPINOL-CPA**

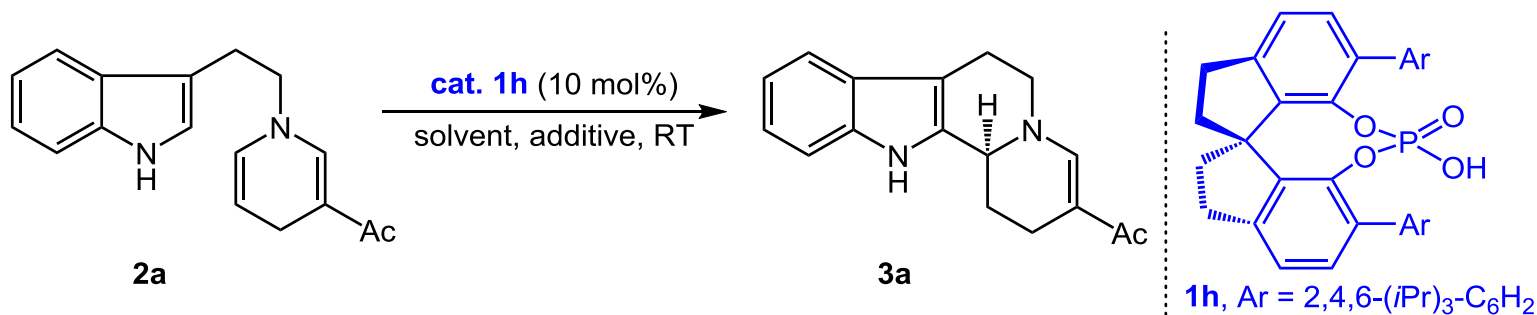
**1g**, Ar = 1-naphthyl

**1h**, Ar = 2,4,6-*i*Pr<sub>3</sub>-C<sub>6</sub>H<sub>2</sub>

**1i**, Ar = 4-Cl-C<sub>6</sub>H<sub>4</sub>

**1j**, Ar = 3,5-(CF<sub>3</sub>)<sub>2</sub>-C<sub>6</sub>H<sub>3</sub>

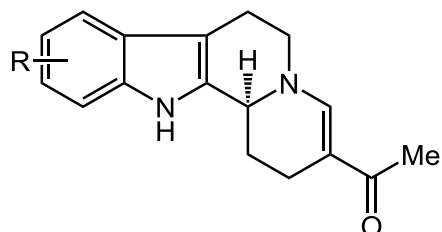
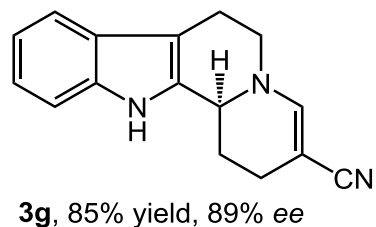
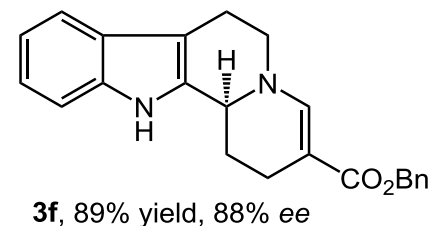
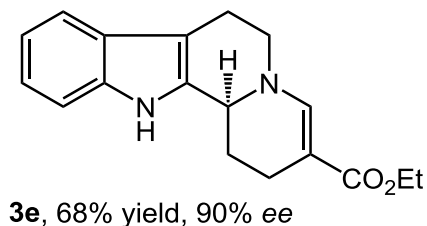
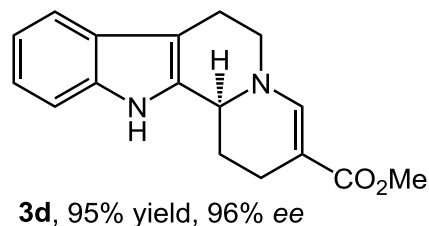
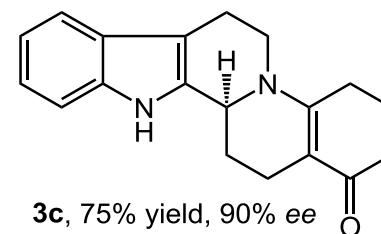
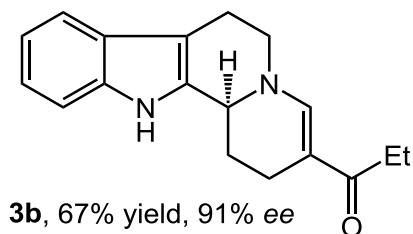
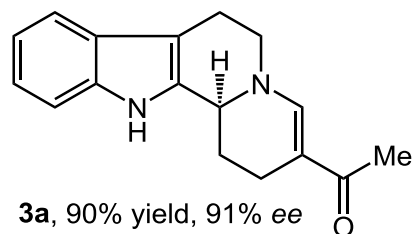
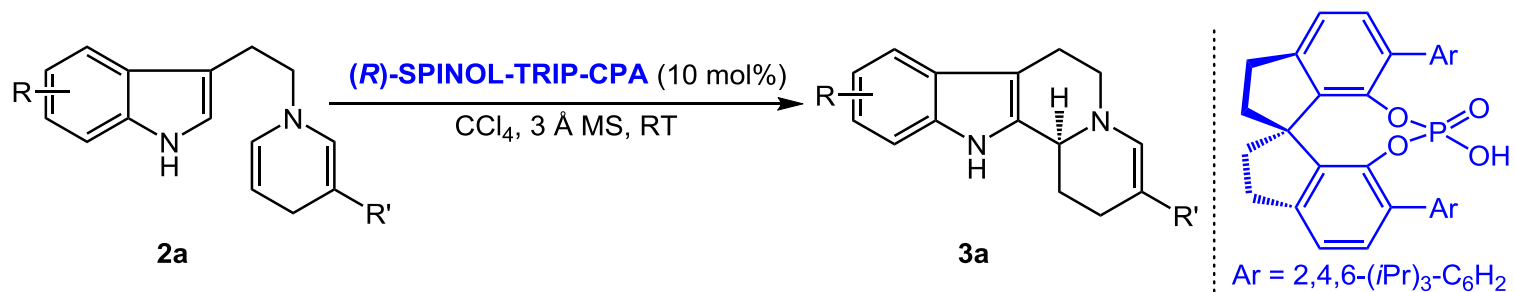
# Condition Optimization



Entry <sup>a</sup>	Solvent	<i>t</i> (h)	Additive	Yield (%)	ee (%)
1	toluene	3.5	-	80	62
2	benzene	3.5	-	77	62
3	DCM	3.5	-	83	55
4	CHCl <sub>3</sub>	3.5	-	95	47
5	CCl <sub>4</sub>	3.5	-	85	72
<b>6</b>	<b>CCl<sub>4</sub></b>	<b>12</b>	<b>3 Å MS</b>	<b>90</b>	<b>91</b>
7	CCl <sub>4</sub>	12	4 Å MS	90	89
8	CCl <sub>4</sub>	8	5 Å MS	82	74
9 <sup>b</sup>	CCl <sub>4</sub>	36	3 Å MS	45	92

<sup>a</sup> Reaction conditions: **2a** (0.1 mmol), **1** (10 mol%), additives (50 mg), solvent (2.0 mL), room temperature. <sup>b</sup> The reaction mixture was stirred at 0 °C.

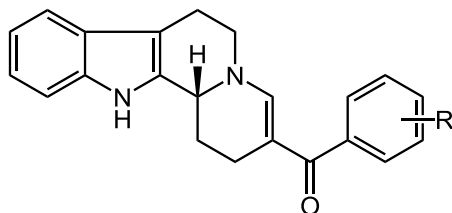
# Substrate Scope



- 3h**, R = 6-Me, 94% yield, 89% ee
- 3i**, R = 7-Me, 86% yield, 91% ee
- 3j**, R = 5-OMe, 66% yield, 92% ee
- 3k**, R = 6-OMe, 67% yield, 87% ee
- 3l**, R = 6-F, 61% yield, 89% ee

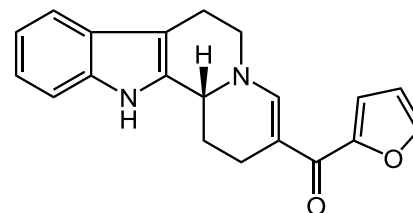


# Substrate Scope and Transformations of Products

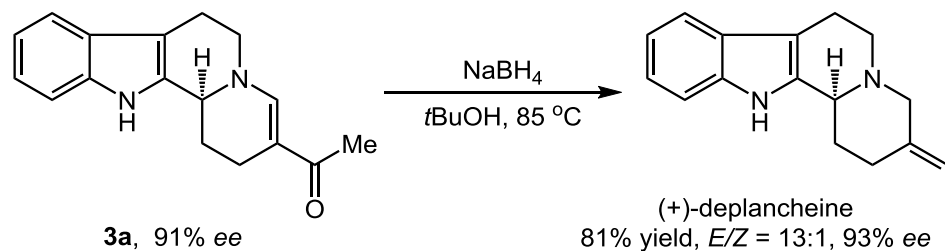
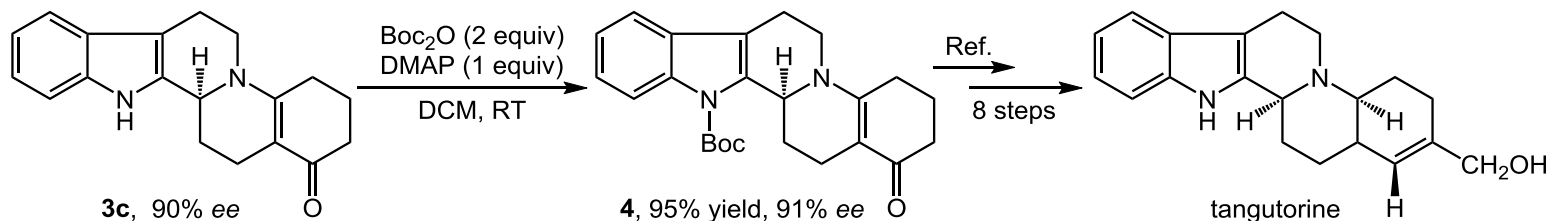


**3m**, R = H, 92% yield, 94% ee  
**3n**, R = *p*-OMe, 92% yield, 77% ee  
**3o**, R = *p*-Me, 94% yield, 66% ee

**3p**, R = *o*-Me, 91% yield, 80% ee  
**3q**, R = *p*-*n*Bu, 85% yield, 72% ee  
**3r**, R = *p*-*t*Bu, 95% yield, 92% ee  
**3s**, R = *m,m*-(*t*Bu)<sub>2</sub>, 89% yield, 84% ee  
**3t**, R = *p*-Ph, 90% yield, 83% ee  
**3u**, R = *p*-CF<sub>3</sub>, 95% yield, 87% ee  
**3v**, R = *p*-F, 91% yield, 99% ee  
**3w**, R = *p*-Cl, 92% yield, 97% ee  
**3x**, R = *p*-Br, 90% yield, 98% ee  
**3y**, R = *p*-I, 91% yield, 87% ee



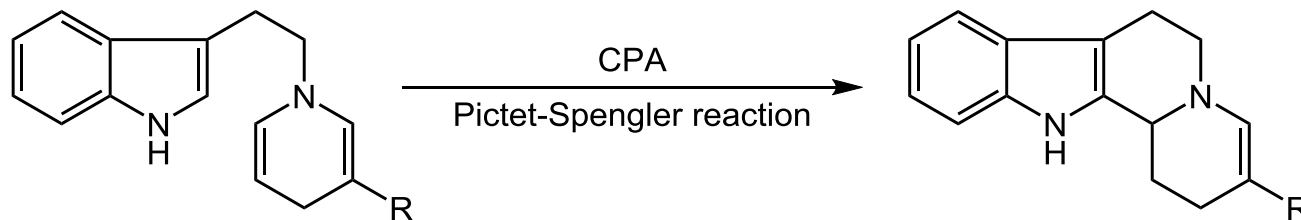
**3z**, 93% yield, 76% ee



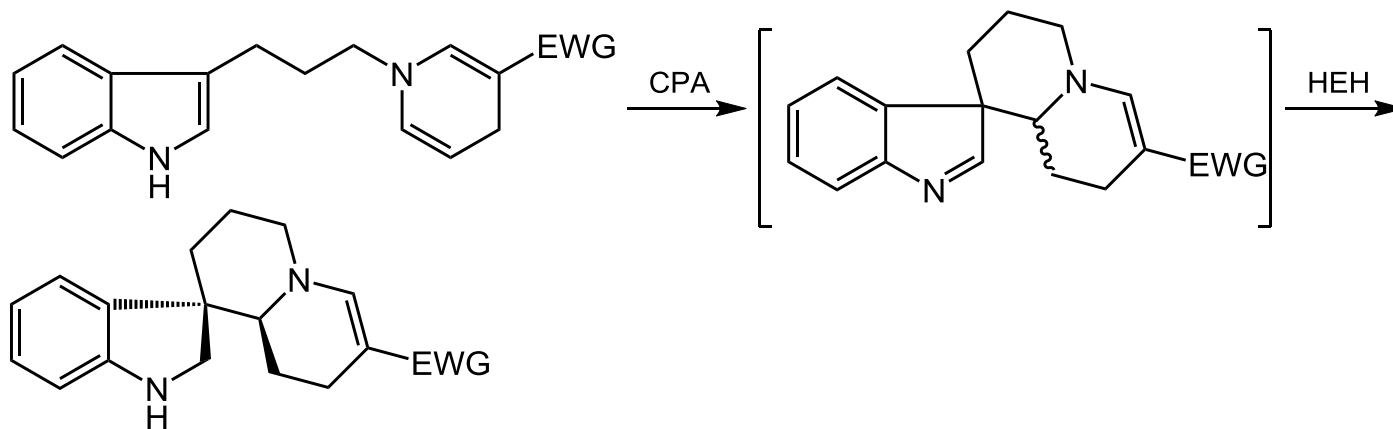
Hsung, R. P. *et al. Org. Lett.* **2003**, *5*, 4709.

# Catalytic Asymmetric Dearomatization of Indolyl Dihydropyridines

## Previous work

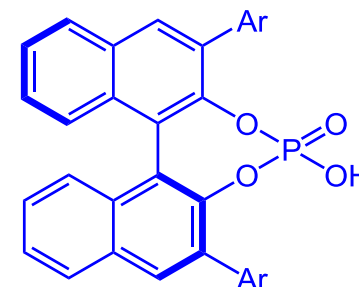
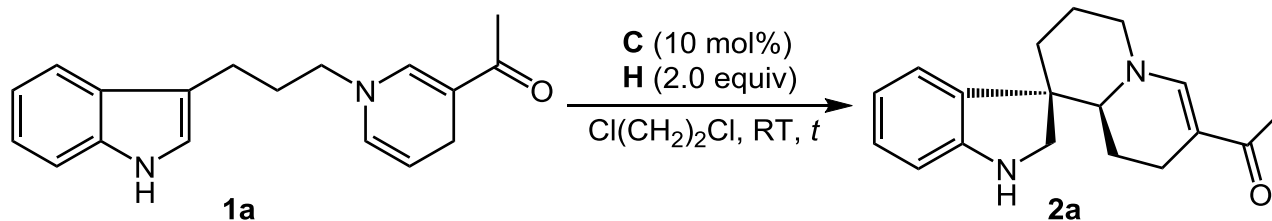


## This work



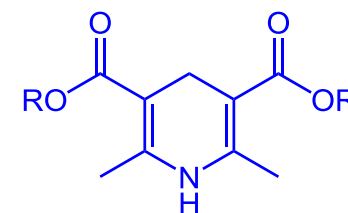
You, S.-L. *et al.* *Angew. Chem. Int. Ed.* **2018**, *57*, 2653.

# Condition Optimization



**C1**, Ar = 9-phenanthryl  
**C2**, Ar = 2,4,6-*i*Pr<sub>3</sub>-C<sub>6</sub>H<sub>2</sub>  
**C3**, Ar = 9-anthryl  
**C4**, Ar = 1-naphthyl  
**C5**, Ar = SiPh<sub>3</sub>  
**C6**, Ar = 3,5-(CF<sub>3</sub>)<sub>2</sub>-C<sub>6</sub>H<sub>3</sub>  
**C7**, Ar = 4-NO<sub>2</sub>-C<sub>6</sub>H<sub>4</sub>

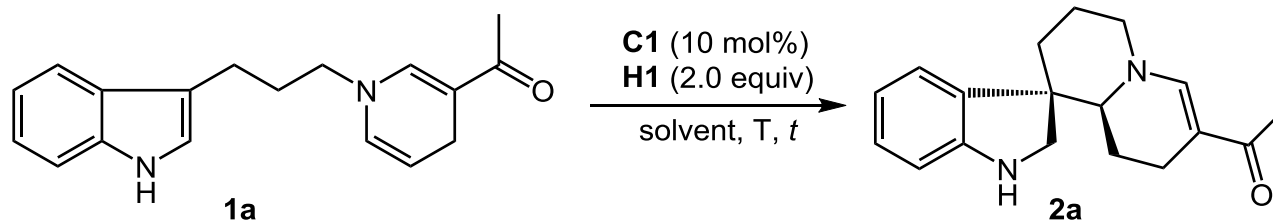
Entry <sup>a</sup>	<b>C</b>	<b>H</b>	$t$ (h)	Yield (%)	ee (%)
<b>1</b>	<b>C1</b>	<b>H1</b>	<b>24</b>	<b>83</b>	<b>81</b>
2	<b>C2</b>	<b>H1</b>	24	53	59
3	<b>C3</b>	<b>H1</b>	24	70	70
4	<b>C4</b>	<b>H1</b>	24	79	72
5	<b>C5</b>	<b>H1</b>	24	31	43
6	<b>C6</b>	<b>H1</b>	24	45	22
7	<b>C7</b>	<b>H1</b>	24	68	51
8	<b>C1</b>	<b>H2</b>	36	37	74
9	<b>C1</b>	<b>H3</b>	48	18	77



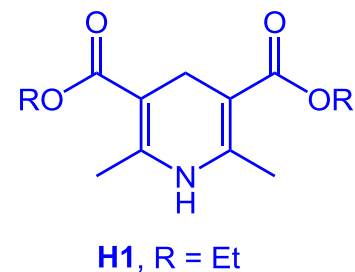
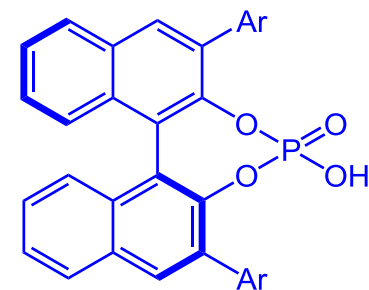
**H1**, R = Et  
**H2**, R = Me  
**H3**, R = *t*-Bu

<sup>a</sup> Reaction conditions: **1a** (0.1 mmol), **C** (10 mol%), **H** (0.2 mmol), solvent (2.0 mL).

# Condition Optimization

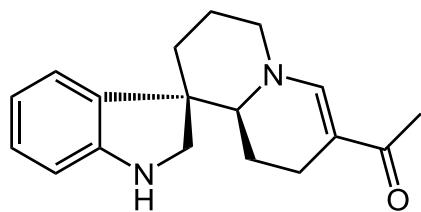
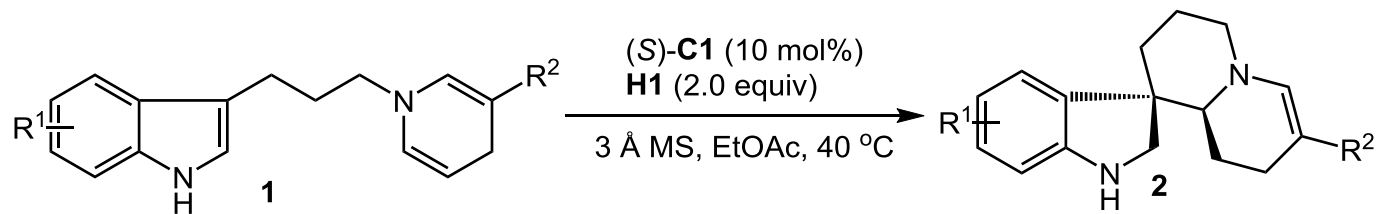


Entry <sup>a</sup>	Solvent	<i>t</i> (h)	<i>T</i> (°C)	Yield (%)	ee (%)
1	Cl(CH <sub>2</sub> ) <sub>2</sub> Cl	24	RT	83	81
2	EtOAc	24	RT	72	85
3	THF	24	RT	57	84
4	1,4-dioxane	24	RT	66	84
5	toluene	24	RT	62	69
6	EtOAc	12	40	76	85
7	EtOAc	12	60	62	81
<b>8<sup>b</sup></b>	<b>EtOAc</b>	<b>36</b>	<b>40</b>	<b>82</b>	<b>93</b>

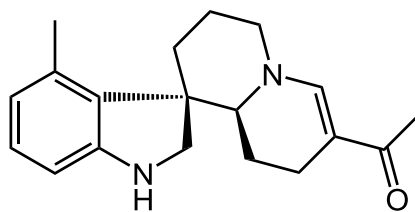


<sup>a</sup> Reaction conditions : **1a** (0.1 mmol), **C** (10 mol%), **H** (0.2 mmol), solvent (2.0 mL). <sup>b</sup> 50 mg 3 Å MS were added and 6 mL of EtOAc were used.

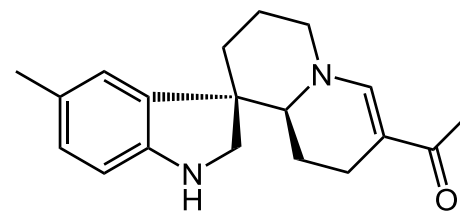
# Substrate Scope



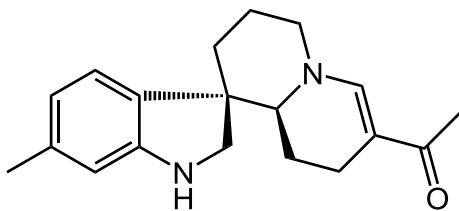
**2a**, 82% yield, 93% ee



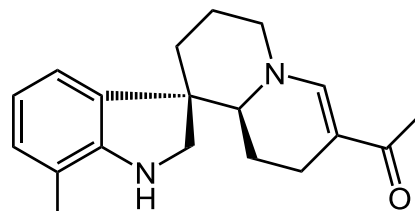
**2b**, 87% yield, 95% ee



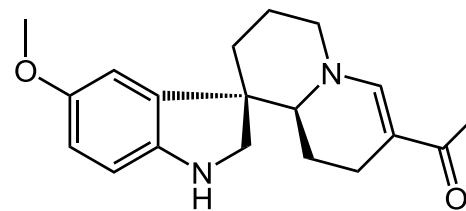
**2c**, 78% yield, 92% ee



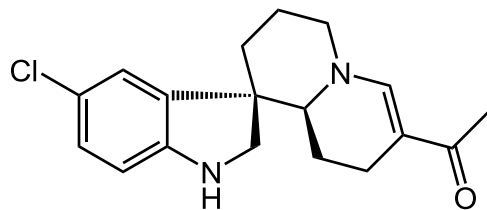
**2d**, 73% yield, 8:1 dr, 87% ee



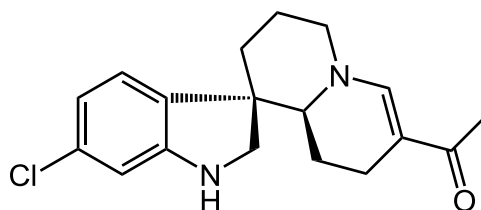
**2e**, 73% yield, 97% ee



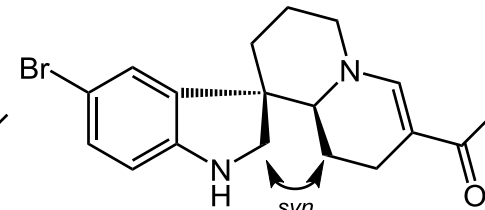
**2f**, 88% yield, 89% ee



**2g**, 74% yield, 91% ee

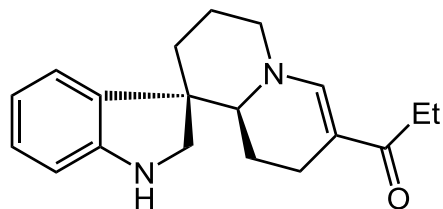


**2h**, 58% yield, 6:1 dr, 82% ee

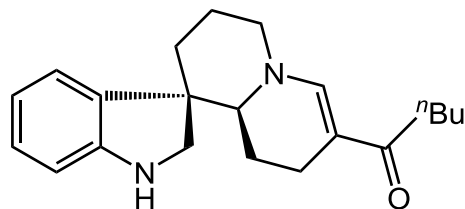


**2i**, 70% yield, 93% ee

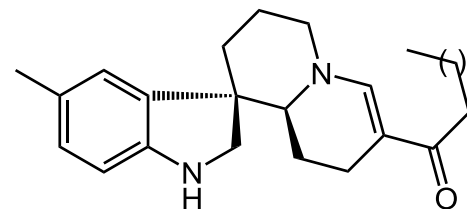
# Substrate Scope



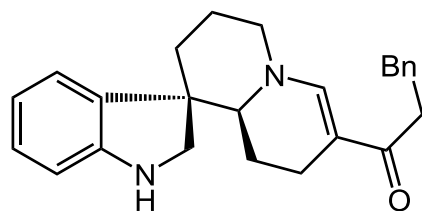
**2j**, 87% yield, 94% ee



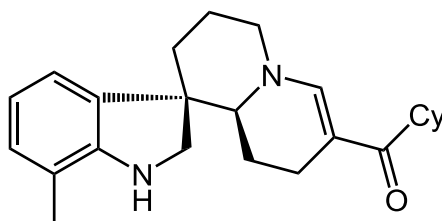
**2k**, 78% yield, 95% ee



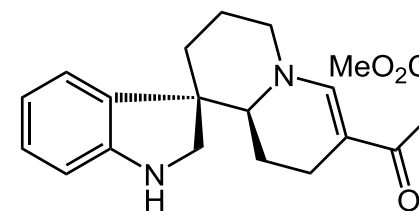
**2l**, 63% yield, 95% ee



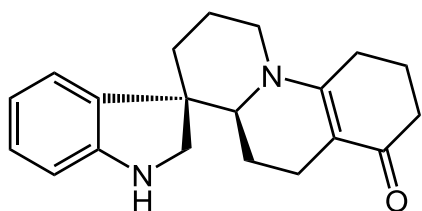
**2m**, 78% yield, 95% ee



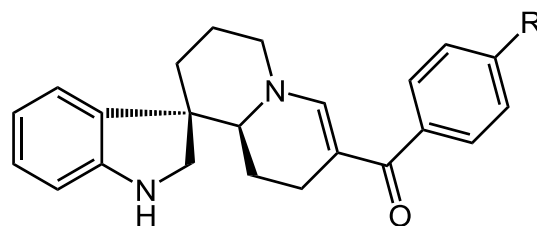
**2n**, 72% yield, 95% ee



**2o**, 65% yield, 94% ee



**2p**, 52% yield, 91% ee



**2q**, R = H 79% yield, 93% ee

**2r**, R = Me 77% yield, 95% ee

**2s**, R = OMe 86% yield, 95% ee

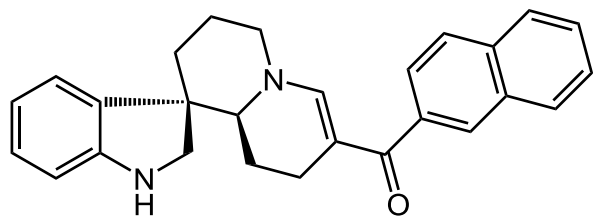
**2t**, R = *t*-Bu 75% yield, 94% ee

**2u**, R = F 55% yield, 93% ee

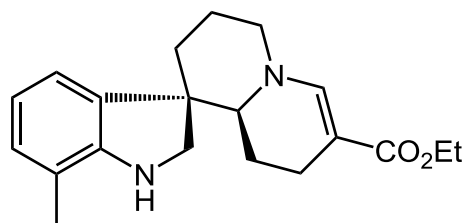
**2v**, R = Br 54% yield, 92% ee

# Substrate Scope

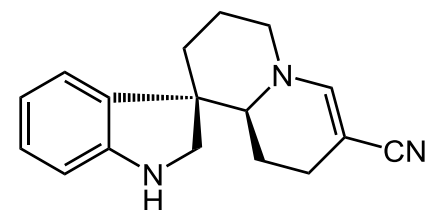
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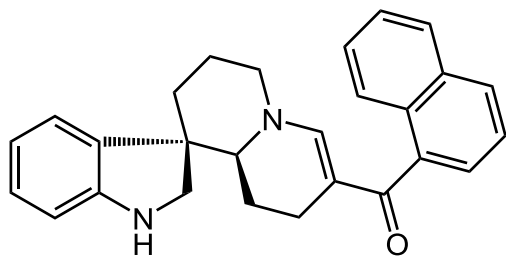
**2w**, 65% yield, 93% ee



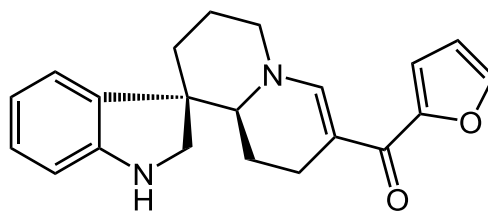
**2x**, 51% yield, 93% ee



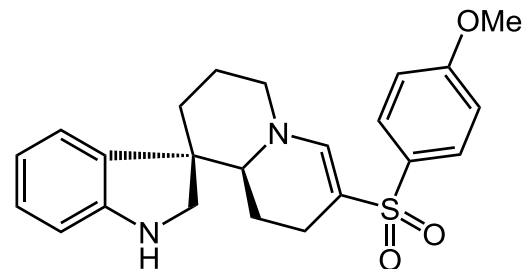
**2y**, 51% yield, 93% ee



**2z**, 59% yield, 84% ee

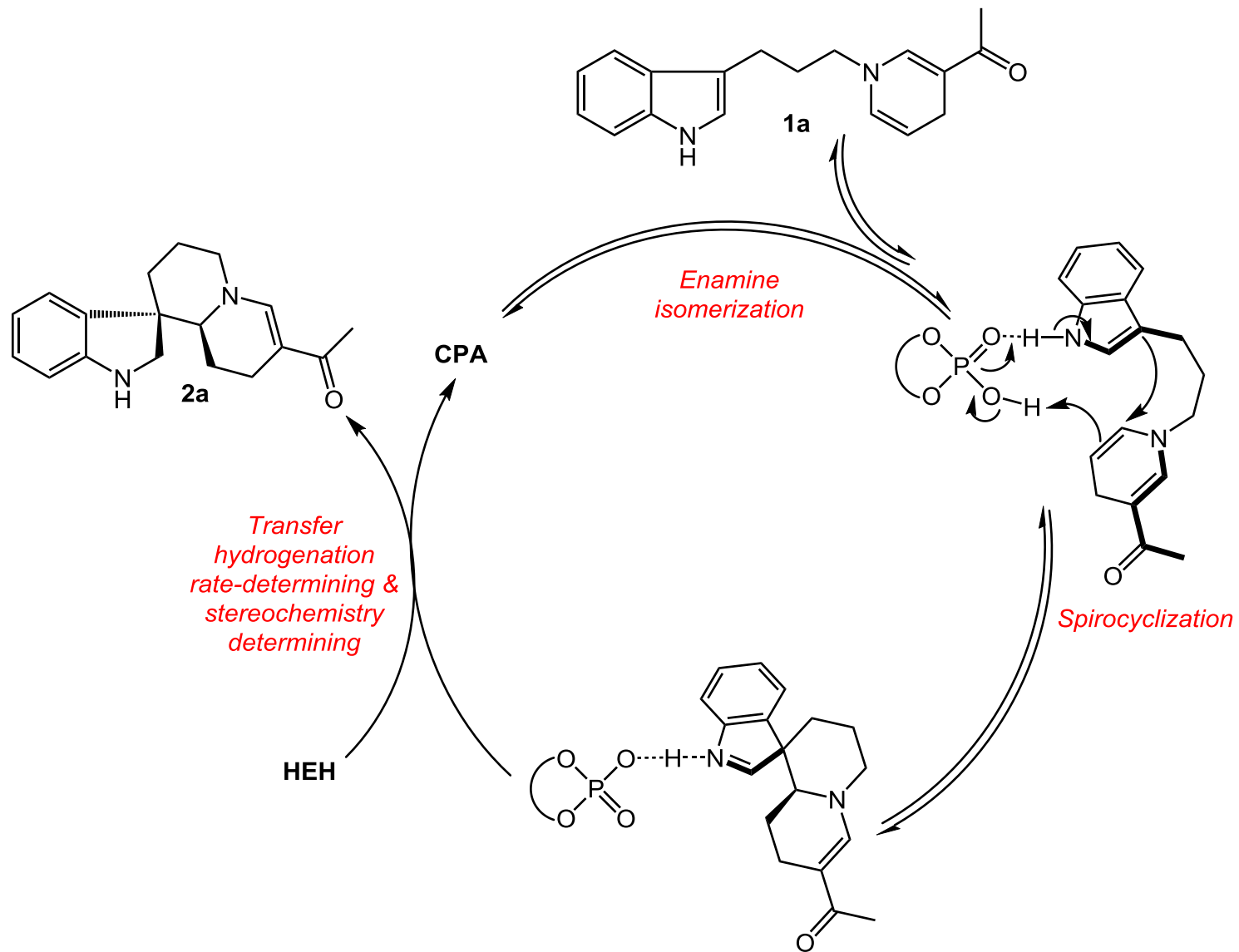


**2aa**, 60% yield, 95% ee



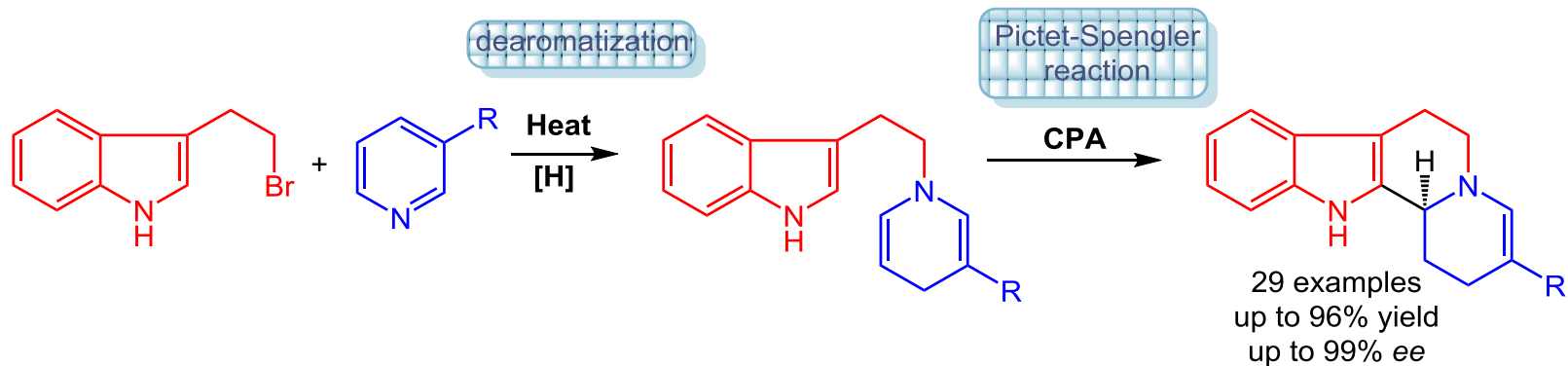
**2ab**, 54% yield, 88% ee

# Proposed catalytic cycle

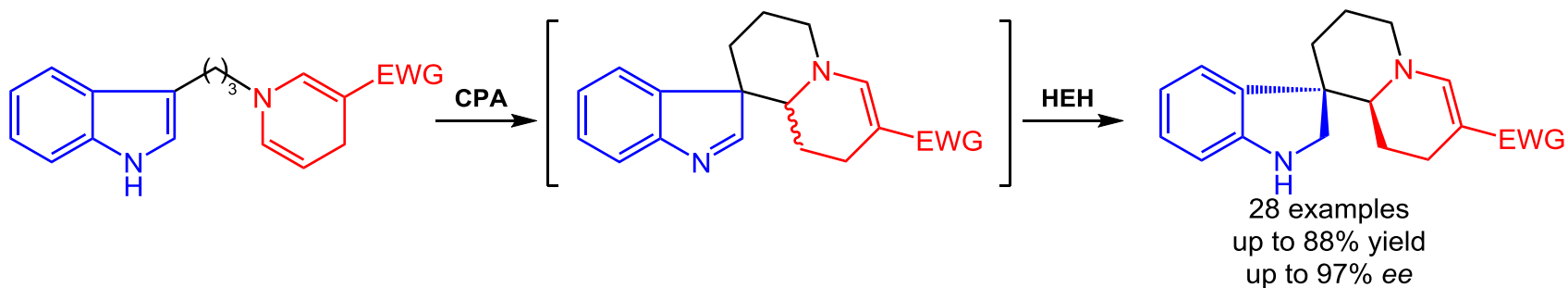




# Summary



You, S.-L. *et al.* *Angew. Chem. Int. Ed.* **2017**, 56, 7440.



You, S.-L. *et al.* *Angew. Chem. Int. Ed.* **2018**, 57, 2653.

# The First Paragraph

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Synthetic studies of polycyclic indole derivatives have attracted plenty of research interests due to the widespread occurrence of such structural cores in natural products as well as their biological activities. Pictet–Spengler reactions are widely recognized as one of the most efficient and straightforward methods to afford tetrahydro- $\beta$ -carbolines. Traditionally, tryptamine derivatives and carbonyl compounds are employed as the substrates of Pictet–Spengler reactions. As part of our ongoing research on catalytic asymmetric dearomatization (CADA) reactions, we recently developed an efficient asymmetric synthesis of tetrahydro- $\beta$ -carbolines through chiral phosphoric acid (CPA)-catalyzed sequential enamine isomerization/Pictet–Spengler reaction of indolyl dihydropyridines **1**.

# The First Paragraph

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Spiroindolenine has been generally regarded as a key intermediate in Pictet–Spengler reactions. To capture and further manipulate the spiroindolenine species would allow unprecedented access to novel polycyclic indole derivatives. In this regard, we envisioned that the analogous reaction of indolyl dihydropyridine, which bears a one-methylene prolonged tether, would afford spiroindolenine, from which an in situ transfer hydrogenation with Hantzsch ester might lead to tetrahydrospiro[indoline-3.1'-quinolizine]. Herein, we report the results of the study on this cascade reaction catalyzed by a chiral phosphoric acid.

## The Last Paragraph

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In summary, we have developed a highly efficient synthesis of enantioenriched spiroindolines through a chiral phosphoric acid catalyzed enamine isomerization/spirocyclization/transfer hydrogenation sequence. This reaction proceeds under mild reaction conditions to afford novel spiroindolines in good yields (up to 88%) with excellent enantioselectivity (up to 97% ee). Further exploration on the reactivity of spiroindolenines is currently underway in our laboratory.

***Thanks***

**for your attention**